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NINE YEAR REPORT

Pertussis surveillance in Sweden

**Progress Report October 1997 - September 2006
with an executive summary**

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1 Executive Summary

1.1 Introduction

In January 1996, seventeen years after the withdrawal of the whole-cell pertussis (Pw) vaccine due to concerns about safety and efficacy [1], the results of the major acellular pertussis vaccine trials [2,3,4,5] allowed licensure of diphtheria-tetanus-acellular pertussis (DTPa) vaccines and vaccination against pertussis was again included in the Swedish vaccination program. The overall incidence during the vaccine-free period had reached more than 100 cases /100,000 person years, and up to 1,000 cases/100,000 infant years. Infant vaccination with Pa vaccines was hence introduced in an endemic setting.

There is a well-established child health care system in Sweden with a 98-99% vaccination coverage in infancy. The three-dose coverage for pertussis vaccination at 3, 5 and 12 months of age rapidly reached this average, since the introduction of DTPa only meant a switch from DT vaccine to DTPa, and this coverage remained unchanged during the subsequent switch from DTPa to multivalent combinations including Pa.

There is also a long-standing Swedish tradition of pertussis reporting, beginning with the “tjänsteläkar-rapporten” by county health officers early in the 20th century, and continuing with voluntary laboratory reporting of culture-confirmed cases in the 1980s. Since 1997, both clinical and laboratory reporting of pertussis cases has been mandatory according to the revised national communicable disease act.

Recognising the unique situation in Sweden, a modern western country with endemic pertussis, a well implemented vaccination program and a long-standing tradition of quality reporting (laboratory-confirmed cases), we started a long-term pertussis surveillance project in October 1997. We used the regular passive surveillance system to identify cases confirmed by culture (later also PCR) in children born from January 1996, with ascertainment of vaccination status and information on clinical course, and we also embedded follow-up of previous trial cohorts [2,3] in this enhanced follow-up. The Gothenburg area was excluded from the follow-up until January 2003 because of an ongoing mass vaccination project, studying the effects of free catch-up vaccination to children under 10 years of age [6]. The changes over time in age-specific rates have been considered the main outcome of the clinical part of the surveillance project, and we have also related clinical outcome to vaccination status.

In a laboratory part, run in parallel until September 2004, roundabout 5400 isolates of *Bordetella pertussis* were collected from children born 1992 and later. The main outcomes were establishment of a reference methods for epidemiological typing of *B. pertussis* and comparison of isolates over time and in relation to vaccination policy and vaccine effectiveness. Obtained patterns were also compared with other countries.

We have specifically refrained from estimating vaccine effectiveness by percent reduction of disease rates among vaccinated compared to unvaccinated children to avoid inflated levels of protection due to ascertainment bias [7] and lack of a computerised vaccination register for proper denominators. We have also refrained from long-term comparisons of vaccines and geographic areas, since the use of the different Pa vaccines has varied with and within calendar periods and areas. Also, to avoid potentially biased comparisons between vaccines, the yearly progress report analyses are limited to the aggregate data on all Pa vaccinations in Sweden (except Gothenburg area).

The main aims of the project were to follow the long-term protection after vaccinations with DTPa-containing vaccines and to document possible strain changes. The experience from one, three, four, five, six, seven and eight years of enhanced clinical follow-up in Sweden (except Gothenburg) has been published previously [8,9,10,11] and also reported in the technical progress reports [12,13,14,15,16,17,18]. Section 2 of this report covers continued follow-up of the same areas for nine years. In Section 3 of the main nine-year report we report general information on laboratory-confirmed pertussis in the whole country and all ages before and after introduction of Pa vaccines.

Also the experience from the laboratory surveillance has been published [19,20,21,22,23] separately, and reported in former technical progress reports.

As for Gothenburg, we have hitherto refrained from inclusion of these data in the yearly progress report because the enhanced surveillance started 5 ¼ year later in this area than in the rest of Sweden, hampering the long-term aggregation of data. A separate first technical report from the Gothenburg area will present the enhanced surveillance information collected from this area during the period January 2003 until September 30, 2006 (3¾ year), together with an update on culture- and confirmed cases reported from this area in comparison with the rest of Sweden during the nine year period October 1, 1997 and until September 30, 2006, in relation to age at time of laboratory sampling. We will also provide retrospectively collected individual vaccination data from children with reported pertussis for the period October 1, 1997 and until December 31, 2002 (5 ¼ year). Regional information on laboratory-confirmed pertussis in all ages before and after introduction of Pa vaccines will be included, and also a list and discussion of plausible explanations to the differences in reported incidence in this area as compared to the rest of the country.

1.2 Materials and methods

A detailed description of the enhanced surveillance program, ongoing since October 1997 in all of Sweden (except Gothenburg until January 2003), and the routine reporting system of pertussis in place in Sweden, has been published [9] and is also described in Section 2. Briefly, the materials and methods for the enhanced surveillance are given here:

All episodes of pertussis occurring in children born since 1996, and also in children participating in the nation-wide trials 1992-96 [2,3], were identified via the national register of reports according to the Communicable Disease Act. An episode of pertussis was defined by (primary case definition) detection of *B. pertussis* by culture- or PCR in a sample obtained >6 months after a previous positive sample, and regardless of symptoms. Typical pertussis was defined as culture- or PCR-confirmed pertussis with twenty-one days or more of spasmodic cough, corresponding to the WHO pertussis case definition of 1991, established for use in the efficacy trials [24]. Additional analyses according to the EU and WHO case definitions of 2002-2003 [25, 26] have been added as appropriate.

1.2.1 Clinical part of enhanced surveillance

In the clinical part of the enhanced surveillance project, these episodes of pertussis, except those occurring 971001-021231 in the Gothenburg area, were followed-up in detail. Vaccination data, as well as detailed clinical data (including data on hospitalisation, complications and antibiotic treatment) was collected by telephone interviews. All clinical data and the unique Swedish personal identifier were entered in a “clinical” database.

Progress reports have summarised the database information for all episodes, except those occurring in the Gothenburg area, up to end of the previous project year, with the present nine-year report updating the information from October 1997 until September 30, 2006.

1.2.2 General information on pertussis in Sweden

General information on pertussis in Sweden have been included in the progress reports. This information includes a time-trend illustration of the number of laboratory-reported cases of pertussis per month from 1986 and onwards, as reported according to the Communicable Disease Act. These laboratory reports are based on culture, PCR or serology. The general information also include annual incidence rates of culture- or PCR-confirmed cases in the whole population and by age-groups for the years 1986-1995 (no general vaccination against pertussis), and from 1998 and onwards (after introduction of Pa). The progress reports have summarised the general information up to the previous calendar year, with the present 9 y report updating this general information until December 2006.

1.2.3 Person time and incidence calculations

Age-specific incidence rates of pertussis for children born January 1, 1996 until September 30, 2006 and for children in the 1993-96 trial were based on the number of notified pertussis cases during the study

period October 1, 1997 to September 30, 2006 as described in Sections 2.9, 2.11, 2.13 & 2.15. In addition, annual overall incidences and age-specific incidences of pertussis in Sweden were based on the number of notified culture- or PCR-confirmed pertussis in the whole population and in all age groups, based on age at notification, and on the corresponding mid-year populations derived from the mean of population figures at two consecutive new years divided by two (data from Statistics Sweden, <http://www.scb.se>).

1.2.4 Vaccines used from 1996

The vaccines used in infancy differed in time and geographic regions during the surveillance period. During 1996 and 1997 a trivalent three-component DTPa containing pertussistoxoid (PT), filamentous haemagglutinin (FHA) and pertactin (Infanrix®, GlaxoSmithKline, GSK) was used in the whole country, except Gothenburg area where a trivalent one-component DTPa with only PT (DiTeKik®, SSI) was used. From the end of 1998 *Infanrix®* was replaced in a number of counties by a pentavalent two-component DTPa-IPV-Hib with PT and FHA (Pentavac®, Sanofi Pasteur MSD). In 2000, the corresponding pentavalent three-component vaccine (*Infanrix®-Polio-Hib*) came into use. Since then pentavalent vaccines are purchased and used by all counties for the primary vaccination series. The corresponding hexavalent vaccines are often used to infants at risk for hepatitis B, but some of these are vaccinated with monovalent hepatitis B vaccine administered separately or concomitantly with the pentavalent vaccine.

A school booster to children born from 1995 was implemented autumn 2005. Vaccines used were either the trivalent three-component DTPa containing pertussistoxoid (PT), filamentous haemagglutinin (FHA) and pertactin (*Infanrix®*, GlaxoSmithKline, GSK) or a tetravalent two-component DTPa-IPV with PT and FHA (*Tetravac®*, Sanofi Pasteur MSD).

1.3 Results

1.3.1 Pertussis incidence for children born 1996 through September 2006

During the nine-year period of this study there were 1 825 followed cases of laboratory confirmed pertussis outside the Gothenburg area among 1 824 children born 1996 or later, with detailed vaccination and clinical history available for all episodes of pertussis. Most cases were reported in the youngest birth-cohort in each calendar period, with a marked decline after the second dose at 5 months of age, Table A . The lowest age-specific incidence was seen in fully vaccinated children (3 doses of of DTPa-containing vaccine) below 2 years of age (13 per 100 000 including unvaccinated children of this age). Between 2-<6 years of age the age-specific incidences were 18-26 per 100,000 person years, with a further increase at ages 6-<9 years to 30-39 per 100,000 person years, but there was a decrease to 29 per 100 000 among the oldest age groups from 9 years of age, Table A (next page).

Table A Total person-time of follow-up, number of observed culture- or PCR-confirmed cases and incidence per 100,000 person years in the different age-groups for children born from January 1996 until September 2006, followed from 1 October 1997 until 30 September 2006. Age-specific incidences per 100,000 person-years in vaccinees but irrespective of vaccine are given for the period before Dose 1 (< 3 months of age), the period after Dose 1 before Dose 2 (3 - <5 months of age, the period after Dose 2 before Dose 3 (5 - <12 months of age) and periods after Dose 3 (from 12 months of age). In parenthesis are given figures including the unimmunised children of respective age group (intent to treat). *In italics, in the second row, are the corresponding figures for children who fulfilled WHO case definition of 21 or more days of spasmodic cough.*

	Follow-up in person years	No. of laboratory confirmed cases	Incidence per 100 000 person years	95% confidence interval for incidence per 100 000 person years
<3 months		n.a. (440)	n.a. (227)	n.a. (207 – 250)
<i>of which ≥21d</i>	193 565	<i>n.a. (391)</i>	<i>n.a. 202</i>	<i>n.a. (182 – 223)</i>
3- <5 months 1 dose		262 (340)	203 (264)	180 – 229 (237 – 294)
<i>of which ≥21d</i>	128 785	<i>226 (295)</i>	<i>175 (229)</i>	<i>151 – 198 (203 – 256)</i>
5- <12 months 2 doses		131 (160)	29 (36)	24 – 35 (30 – 42)
<i>of which ≥21d</i>	449 130	<i>93 (120)</i>	<i>21 (27)</i>	<i>17 – 25 (22 – 32)</i>
12- <24 months 3 doses		67 (100)	9 (13)	7 – 11 (11 - 16)
<i>of which ≥21d</i>	761 405	<i>50 (82)</i>	<i>7 (11)</i>	<i>5 – 9 (9 – 13)</i>
24- <36 months 3 doses		102 (124)	15 (18)	12 – 18 (15 – 21)
<i>of which ≥21d</i>	693 330	<i>83 (105)</i>	<i>12 (15)</i>	<i>10 – 15 (12 – 18)</i>
36- <48 months 3 doses		98 (116)	16 (19)	13 – 20 (16 – 23)
<i>of which ≥21d</i>	601 530	<i>76 (93)</i>	<i>13 (15)</i>	<i>10 – 16 (12 – 19)</i>
48- <60 months 3 doses		96 (119)	19 (23)	15 – 23 (19 – 28)
<i>of which ≥21d</i>	514 830	<i>68 (91)</i>	<i>13 (18)</i>	<i>10 – 17 (15 – 24)</i>
60- <72 months 3 doses		95 (111)	22 (26)	18 – 27 (21 – 31)
<i>of which ≥21d</i>	433 230	<i>69 (83)</i>	<i>16 (19)</i>	<i>12 – 20 (15 – 24)</i>
72- <84 months 3 doses		100 (104)	28 (30)	23 – 35 (24 – 36)
<i>of which ≥21d</i>	351 630	<i>80 (84)</i>	<i>23 (24)</i>	<i>18 – 28 (19 – 30)</i>
84- <96 months 3 doses		91 (100)	34 (37)	27 – 41 (30 – 45)
<i>of which ≥21d</i>	270 030	<i>71 (79)</i>	<i>26 (29)</i>	<i>21 – 33 (23 – 36)</i>
96- <108 months 3 doses		68 (74)	36 (39)	28 – 46 (31 – 49)
<i>of which ≥21d</i>	188 430	<i>56 (61)</i>	<i>30 (32)</i>	<i>22 – 39 (25 – 42)</i>
≥108 months 3 doses		35 (37)	27 (29)	19 - 38 (20 – 39)
<i>of which ≥21d</i>	129 175	<i>24 (26)</i>	<i>19 (20)</i>	<i>12 – 28 (13 – 29)</i>
All ≥12 months 3 doses		752 (885)	19 (22)	18 – 20 (21 – 24)
<i>of which ≥21d</i>	3 943 590	<i>577 (704)</i>	<i>15 (18)</i>	<i>13 – 16 (17 – 19)</i>

1.3.2 Clinical outcome of pertussis disease

Data on duration of cough and presence of spasmodic cough were available for all 1 825 episodes, whereas data on presence of any complication were available for 1 818/1 825 episodes and data on hospitalisation admission for 1 819/1 825 episodes.

All episodes but 3 (0.2%) included coughing. Spasmodic cough for 21 days or more was reported for 1 510 (82.7%) and spasmodic cough for less than 21 days was reported for 121 (6.6%) of the episodes. The remaining 194 episodes (10.6%) presented cough of non-spasmodic type of varying duration (Table 12).

It is well known that infants with pertussis may present without typical clinical picture. In our material 77 of the 121 children with spasmodic cough of shorter duration than 21 days were <1 year of age, whereof 38 infants were unimmunised, 22 had received one dose and 17 had received 2 doses of vaccine.

Applying the EU clinical case definition of pertussis with 2 weeks of more of coughing (any type) in conjunction with positive laboratory sample, in all 1 782/1 825 (97.6%) would fulfil this definition. Among the 43 cases that would not fulfil the EU definition, 21 were infants and 22 were aged 1-6 years. All but two of these infants had received erythromycin or trimetoprim-sulfametoxazol, whereas fourteen of the children aged 1-6 years were treated with antibiotics. Seven of the infants were unvaccinated, 3 had received one dose and 11 had received both doses. One child aged one year had received two doses and the remaining children aged 1-6 years had received three doses.

The fact that most infants with short duration of cough were treated with antibiotics reflects a Swedish tradition implemented during the seventeen-year period without general vaccination against pertussis. In 1983 the National Board of Health and Welfare recommended protection of infants by avoiding exposure and by the use of erythromycin to those who were accidentally exposed. Post-exposure prophylaxis was recommended if the infant was below 6 months of age, and early treatment at first symptoms to infants 6-12 months [27].

Children treated with antibiotics within one week after start of pertussis episode had significantly shorter duration of cough, Section 2.19, Table 13.

The solicited complications asked for in the interview were respiratory complications, neurological complications, dehydration with >5% loss of weight or other serious complication. There were 297 episodes with respiratory complications, whereof 147 with apnea and 150 without. Neurological or other serious complications were only reported for 10 and 2 children respectively. There was a strong association between age at the beginning of the pertussis episode and the risk of a complication due to the disease for an unimmunised child. There was also an association between vaccination status before the episode and the risk of any complication (Section 2.17).

Among the 1 819 cases of pertussis in children born 1996 until 30 September 2006 or later for whom we have data on hospitalisation, there were 461 children with a hospital admission due to pertussis disease, whereof 362 (78.5%) occurred in unimmunised children. Most of these were below three months of age at start of the pertussis episode. The hospitalisation rate among unimmunised children was 53%. The duration of hospital stay was shorter in the vaccinated children compared to the unvaccinated children. There were 22 hospitalised children, who had received two or more doses of DTPa, but only 2 (9.0%) were hospitalised for 8 days or more. The overall age-specific incidence rates for a hospital admission was 161, 78, 7 and 0.4 per 100,000 person years of follow-up for children in age groups 0-<3, 3-<5, 5-<12 and ≥12 months respectively, Section 2.16 Table 10 and Figure 3.

There was also a strong association between hospitalisation and a complication due to the pertussis disease. Seventy-two percent of the children with at least one reported complication also had a hospital admission compared to 13% admissions among children without any complication during the episode ($p<0,001$). In all, there were 383 children with at least one complication due to the pertussis disease during the episode. Detailed information in relation to vaccinations and age is found in section 2.17.

1.3.3 Pertussis incidence in the trial cohorts born 1992 and in 1993-1994

Cases of pertussis during the nine-year follow-up period among children who had received three doses in the nation-wide pertussis vaccine trials [2,3] are shown in Section 2.15 Tables 9 a-c. These children were born in 1992 or between June 1993 and May/June 1994 and were between 3 and 12 years of age during the follow-up period, October 1997 to September 2006.

1.3.4 Pertussis incidence in the whole country before and after 1996 (introduction of acellular pertussis vaccines)

The number of reported laboratory confirmed cases per month shows peaks every third winter: 1987-88, 1990-91 (continuing into 1992) and 1993-94 in the pre-vaccination period and a small peak in 1999-2000, thereafter small undulations at low levels during 2001 – 2006, Figure A. The annual incidence of laboratory confirmed *B. pertussis* was 89-150 per 100,000 before introduction of acellular pertussis vaccines, Section 3 Table 15. After a rapid drop in 1996-1997 the overall annual incidence reached 7 to 26 per 100,000 person years.

The peak incidence in the pre-1996 era was approximately 1600 cases per 100,000 and occurred in 2-4 year old children. Pertussis incidence in the fully vaccinated cohorts born after 1996 was below 90 cases per 100,000 person years. However, the reduction of age specific incidence was least marked below one year of age. In this age-group incidence was between 107 and 290 per 100,000 until 2006, when the age-specific incidence in infancy for the first time was below 100 per 100,000.

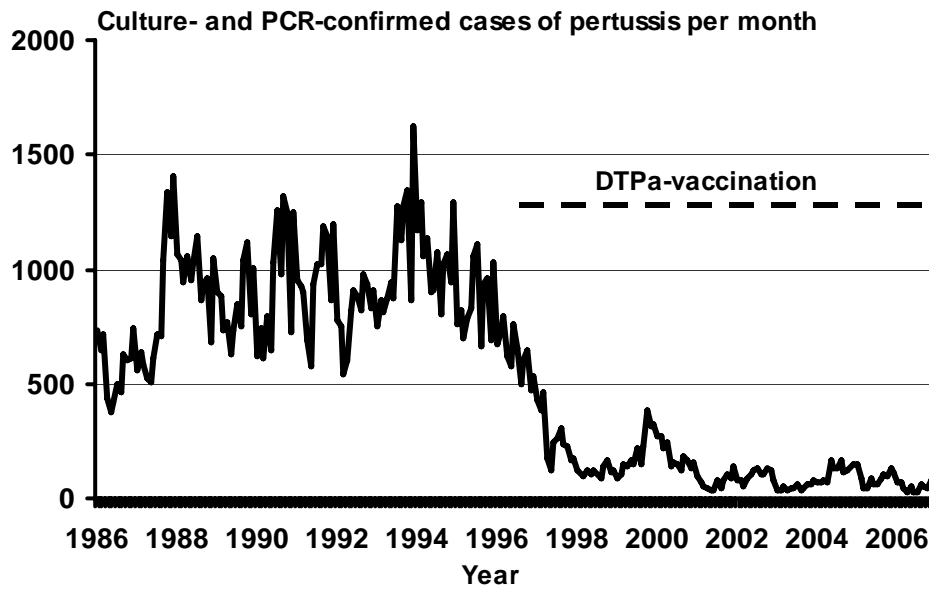


Figure A Number of culture- and PCR-confirmed pertussis cases in Sweden per month from January 1986 to December 2006.

The age specific incidence rates before and after introduction of DTPa-containing vaccines are shown in Figure B, based on Table 15A. The age specific incidence for pre-school children dropped from >1000 per 100,000 to approx. 100/100,000 in 1998-2000, to 50/100,000 in 2001 and further to approximately 20/100,000 in 2003. The rate has also dropped to below 100/100,000 among the mainly vaccinated children during the first years in school. In unvaccinated 10-14 year-olds, however, the age-specific incidence remains about the same before and after introduction of acellular pertussis vaccine.

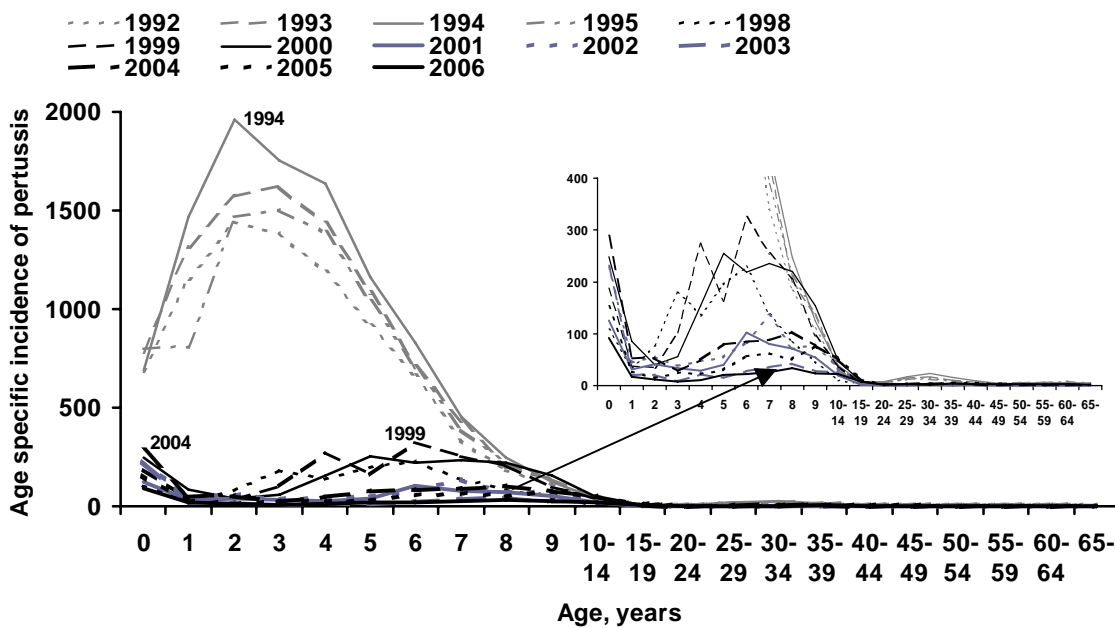


Figure B Age specific incidence of laboratory confirmed pertussis in 1992-5 and culture or PCR in 1998-2004, from Section 3, Table 12. Enlarged curves are shown in the insertion. The arrow highlights the incidence curve for year 2006

Cross-sectional population data suggests a shift in pertussis incidence peaks moving from 2-4 years during the non-vaccination period to 3 and 5-6 year olds in 1998, 4 and 6-7 year olds in 1999, 5 and 6-8 year olds in 2000, corresponding to the youngest unvaccinated or partially vaccinated birth cohorts. This shift was not continued in the same way during the low incidence years 2001 and 2002, but from 2003 the same cohorts again had incidence peaks. In 2004-2005, when the first cohort of vaccinated born in 1996 reached 8-9 years, this cohort had the highest incidence after infancy during these two calendar periods. We interpret this observation as a possible first sign of waning immunity 6-7 years after completed primary vaccinations with DTPa.

1.4 Discussion

In the nine-year period after the introduction of DTPa-containing vaccines in Sweden, we report a widespread decline in pertussis incidence throughout the country. The reported incidence of laboratory confirmed pertussis is 80 to 90 % lower than it was before these vaccines were introduced. The reported incidence is similar to that observed in the late 1960's and early 1970's when a Swedish-produced whole-cell pertussis vaccine was used with a high coverage [1].

Our observations indicate that the acellular pertussis vaccines used in the Swedish national vaccination programme have markedly reduced the reported incidence of pertussis in immunised cohorts, and also reduced pertussis among unvaccinated and partially vaccinated infants.

In spite of the dramatic decrease, the disease is however still endemic with peaks every 3-5 years, and the reduction of incidence in the unvaccinated infants is not as marked as in older age-groups. The incidence also remains high during the age period 3-5 months, i.e. after the first dose, Section 2.12, table 8a, although hospitalisation due to pertussis was significantly reduced at that age.

The lowest age-specific incidences were found from 1-<6 years of age, with a slight increase at ages 6-<8 years and during 2004-2005 also a marked increase at 8-<9 years. Already in 2004 we observed for the first time the highest reported specific incidence in the first birth cohort who received acellular pertussis vaccine within the new immunisation programme. These data, together with the increase in incidence from 6 years of age, with age-specific incidence at about or higher than that for 5-12 month-old infants (after the second dose of pertussis vaccine), is suggesting waning protection by 6-8 years of age.

In accordance with the experience of other countries, most cases in Sweden are reported in infants and among older children. So far we have, however, not observed an emergence of pertussis among adolescents and young adults such as that reported in other settings [28,29,30], but the sensitivity of passive surveillance is too low to permit accurate estimates of pertussis among adults and adolescents.

Previously reported randomised studies have shown that acellular vaccines were efficacious in clinical trial settings in young children [11,12] but there are little data on the effectiveness of the vaccines when given to school age children, nor of the long-term effectiveness of vaccines administered in infancy without later vaccine boosters. Our data indicate that the vaccines appear to be effective from the second dose administered at 5 months of age, and the third dose of vaccine was associated with a further reduction in disease incidence, Section 2.12, table 8a. The reduction in disease was more pronounced during the first year following vaccination, but seemed to remain fairly stable for 4-5 years following the completion of the full vaccination schedule, Section 2.12, table 8b. These findings are in accord with Italian and German experiences [14,15]. Open long-term follow-up studies suggest sustained efficacy during the first six years of life after only three doses of three-component acellular pertussis vaccines in infancy [31], and after four doses of a four-component vaccine [32]. The incidence of confirmed pertussis is increasing from 6 years of age and the concomitant incidence among infants suggests that a booster dose is warranted before 6-7 years of age [11]. However, the very high efficacy estimates presented in the post-trial studies should be regarded with caution since such studies are open to biases that predictably will over-estimate efficacy [4].

The Swedish National board of health and Welfare has recently revised the schedule of the national vaccination program, including an evaluation of the pertussis schedule in order to achieve better control of the spread of *B. pertussis*. From 2007 a preschool and also a school leaving booster is recommended to

children born from 2002 (DTPa at age 4-5 years, dTpa at age 14-16 years), and children born 1995-2001 are recommended a catch-up (DTPa at age 10 years). [33].

Analysis of age-specific incidence showed substantially lower rates for children born after the introduction of acellular pertussis vaccines, Figure A and Section 3, Table 12. The reduction of pertussis incidence at 4-8 years of age observed during the surveillance years can be explained by a combination of different factors such as the participation in a vaccine trial ([3], 40-45% of birth cohorts 1993 to 1994), a catch-up programme during the first years after reintroduction of general pertussis vaccination (59 percent of birth cohort 1995 have been immunised), and indirect immunity through a decrease in circulation of *B. pertussis*. As for the latest four project years, it is to be noted that all pre-school cohorts are vaccinated in infancy, with a coverage of about 98%, and furthermore that the first vaccinated birth cohorts (born 1996 - 1999) have in fact by now entered school age.

Our analysis is subject to important limitations. The study design is open and, with exception of clinical trial participants, non-randomized. Case ascertainment is based on routine surveillance of culture- and PCR-confirmed pertussis. The sampling rates may vary geographically and over time, according to the awareness of pertussis, local clinical practice, level of suspicion and laboratory experience in different parts of the country. The problems with laboratory confirmation are mainly the differential sensitivity of culture-confirmation in vaccinated compared to unvaccinated individuals, and also differential sensitivity in culture- and PCR-confirmation. Confirmation by PCR has replaced culture-confirmation at an increasing number of laboratories during the last few years, Figure 7, which may erroneously decrease observed differences between pre and post vaccination periods and may also confound comparisons over time regarding waning protection.

However, taking these limitations into account, the results of this study provide valuable evidence on the “effectiveness” of the pertussis vaccination programme and may serve as the basis for decisions on future vaccination strategies.

1.4.1 Future priorities

Protection differences demonstrated in efficacy trials may wane over the years, with little or no difference at all in the long run. Additional boosters may further decrease differences observed after priming (or priming + early booster). Another possibility could be the opposite, i.e. that differences of effectiveness between vaccines may remain unidentified for a number of years. Such late effects may only be detected by sustained disease surveillance combined with detailed national vaccination registry data [34]. Yet, the validity of comparing effectiveness of different vaccines will be limited by local and time differences in completeness of case ascertainment.

While waiting for different immunisation strategies to be evaluated, such as neonatal vaccination, vaccination of the family of the new-born, pre-school/school booster doses and/or adult vaccination, contact tracing around young infants should draw attention to the need for a stricter implementation of antibiotic chemoprophylaxis around the exposed infant [35], and provide a better understanding of who has exposed the infant. Studies of neonatal vaccination would be useful to evaluate the possibility to initiate a vaccination response already at birth, and/or studies of maternal vaccination for induction of protection already before birth.

Additional clinical studies to understand the pathogenesis of the severe pulmonary complications in infantile pertussis are also warranted [36,37]. Furthermore, there is a need for better understanding of the epidemiology of pertussis in infancy, including studies relating changes over time in infant age-specific incidence to epidemiological changes in other groups, including changes in maternal antibodies over time.

As for routine surveillance of pertussis, the case definitions currently used may lead to an underestimation of the circulation of pertussis in infants. Serious manifestations of pertussis including deaths may occur in this age-group in spite of duration of cough shorter than 2 weeks. Also cases with a milder clinical course because of antibiotic treatment may fall outside the reporting.

1.5 Summary in brief

- The overall incidence of laboratory confirmed pertussis dropped From 121-150/100 000 in 1993-1995 to 11-16/100 000 in 2001-2006 (including PCR-confirmed pertussis)
- The highest incidence occurs in infants who are unvaccinated or have received only one dose of Pa.
- Most hospitalisations and complications occur in infants who are unvaccinated.
- There was an association between vaccination status of the child before the episode and the risk of a hospitalisation of a complication, indicating that in children with pertussis there might be some protection against “severe” disease, expressed as a hospitalisation of a complication, already by the first vaccine dose.
- An early start of the antibiotic treatment, within the first week (≤ 6 days) after onset of cough during the episode was, in all age groups, associated with a shorter duration of cough compared to both “no antibiotic treatment” and a late start, later than two weeks after onset. The same was true for spasmodic cough.
- Noteworthy, the Swedish National Board of Health and Welfare recommends post-exposure prophylaxis with antibiotics to infants below 6 months of age, and early treatment at first symptoms to infants 6-12 months. The rates of antibiotic treatment in the age-groups <3 months, 3- <5 months and 5- <12 months were respectively 92%, 79% and 70%. The incidence declines from the second dose and remains low for about 5 years after the third dose without a later booster dose
- Waning protection was suggested in 2004-2005 by
 - highest age specific incidence in 2004-2005 among 8-9-year olds born in 1996, the first DTPa cohort
 - increasing age specific incidence from 6 years of age
- A concomitant increase in incidence among infants suggests that a booster dose is warranted at 5-6 years of age
- The relatively small difference between the proportion of cases meeting the WHO case definition in vaccinated and unimmunised children is not in accordance with data in the randomised controlled trials in 1992-5 and 1993-96, and suggests an underreporting of mild cases among vaccinated children.
- Clinical case definitions used for routine reporting of pertussis in infancy need revision, because pertussis in this age-group may be serious and even cause death in spite of coughing period shorter than 2 weeks. Also successfully implemented post-exposure prophylaxis in this age-group may lead to shorter coughing period.

2 9-year clinical pertussis surveillance Oct 1997 – Sept 2006

2.1 Background

2.1.1 Routine reporting system

During 1980 to 1995 laboratory confirmed pertussis was voluntarily reported from all bacteriological laboratories with full personal identifiers. Pertussis was included in the new Communicable Disease Act in 1997. Since Fall 1997 all cases of pertussis, either clinically suspected or/and laboratory confirmed by culture, polymerase chain reaction (PCR) or serology were reported to the Swedish Institute for Infectious Disease Control through a computer-linked reporting system.

2.1.2 Enhanced surveillance program

The enhanced pertussis surveillance started in October 1997 in Sweden, 1³/₄ year after the introduction of acellular pertussis vaccines at 3, 5 and 12 months in the general vaccination program. All routine reports of culture- and PCR-positive samples from children born since 1996, the year when Pa vaccines were included in the national vaccination program, and also in children born 1993-94 who participated in the nation-wide trial 1993-96, Trial II [3], have since then been identified through the national register. These episodes of pertussis have been followed-up in detail by study nurses who documented the clinical course and vaccination history by telephone according to the same procedures carried out during Trial II [3]. Parameters reflecting severity of disease were duration of spasmodic cough and total duration of cough, presence of complications, and hospital admissions including length of hospital stay. Also information on antibiotic treatment with erythromycin or sulfamethoxazol was collected. Detailed vaccination history for children born since 1996 was obtained from the medical records of the Child Health Care or School Health Care Centres by telephone to the nurse attending the individual child. Parental permission was obtained to request medical records as needed.

Routine reports of culture- or PCR-confirmed pertussis occurring 971001-021231 in the Gothenburg area were entered in the database but without further clinical follow-up. Vaccination history for these episodes was in 2006-07 retrospectively collected by telephone to the Child or School Health Care nurses. Episodes occurring from 2003 were followed by the same routines as in the rest of Sweden for children born since 1996.

Routine reports based on serology or clinical reports without laboratory confirmation were not at all included in the enhanced follow-up.

2.1.3 Pertussis case definitions

An episode of pertussis was defined by (primary case definition) detection of *Bordetella pertussis* by culture- or PCR in a sample obtained >6 months after a previous positive sample, and regardless of symptoms. Typical pertussis was defined as culture- or PCR-confirmed pertussis with twenty-one days or more of spasmodic cough, corresponding to the WHO pertussis case definition [24]. In the discussion part, comparisons were made with the current clinical case reporting definitions of EU [25] and WHO [26].

2.1.4 Vaccines used

In the beginning of 1996, when a pertussis vaccine was reintroduced in the vaccination program, only one DTPa (Infanrix®, GlaxoSmithKline, GSK) was used in all parts of Sweden except Gothenburg area. From at about September 1998 and during 1999 some counties in Sweden switched to the first licensed combined DTPa-Hib-IPV vaccine (Pentavac®, Sanofi Pasteur MSD), and from the year 2000 another pentavalent combination vaccine (Infanrix-Polio+Hib®, GSK) was licensed and came into use. In Gothenburg and surrounding communities, an area with at about 9% of the Swedish population, another DTPa (Di-Te-Kik®, SSI) was used until spring 2000, whereafter these communities switched to Pentavac®, already used in the rest of the county of Västra Götaland. From 2000-2001 all counties in

Sweden administer the five vaccinations recommended to all infants by use of the pentavalent combination vaccines. Vaccination against hepatitis B is not included in the general part of the Swedish vaccination program but recommended to children at risk. A few counties have lately started to use hexavalent combinations for vaccination of infants at risk for hepatitis B, whereas other use monovalent hepatitis B vaccine together with DTPa-IPV-Hib vaccine.

In short, the use of Pa vaccines within the national vaccination program have varied by time and county, ranging from the initial use of trivalent one or three-component vaccines to the later use of multivalent two or three-component vaccines. Several counties have reconsidered their procurement more than once during the project years for the first three doses of Pa-containing vaccine (see Figure 1).

Children vaccinated within the two vaccine efficacy trials in Sweden performed 1992-1995, were vaccinated according to the following:

- I. The Stockholm Trial I included 9,829 infants in 1992. They were vaccinated with a five-component DTPa vaccine (Connaught Laboratories Limited, CLL), a two-component DTPa vaccine (SmithKline Beecham, SB) or a DTPwc (CLL) [2].
- II. The Stockholm Trial II included 82,892 infants in 1993/94. They vaccinated with the five-component vaccine (CLL), the two-component vaccine (SB), a three-component vaccine (Chiron) or a DTPwc vaccine (Evans) [3].

2.1.5 Vaccination schedules

2.1.5.1 Unvaccinated children born from 1996

Children born in Sweden from 1996 are recommended three doses of acellular pertussis vaccine according to the 3-5-12 month schedule.

Unvaccinated immigrants born from 1996, or children delayed for some other reason, should normally be vaccinated according to the same principle, i.e. two doses with a two month interval, followed by a third dose after six months (or more). However, since monovalent Pa vaccine was withdrawn in 2000, these children can only receive Pa if they are also unvaccinated against diphtheria and tetanus.

2.1.5.2 Unvaccinated children born before 1996

The Gothenburg mass vaccination project offered free catch-up vaccination with 3 doses to all children born in the 1990:s during the years 1996-1999. There was no free catch-up in the rest of the country, but monovalent Pa vaccine was available until the year 2000, and many children were vaccinated at the expense of the parents during these years. Except for in Gothenburg, catch-up to children aged 2 years of more were in most cases administered according to a two-dose schedule.

Within the Trial I a 2-4-6 month schedule was used (9,829 infants) and in Trial II either a 3-5-12 month (72,698 infants) or a 2-4-6 month schedule (10,194 infants) was used.

2.1.5.3 Booster vaccinations after the first three doses

Children vaccinated with a two-component DTPa or the US DTPwc according to the non-boosted schedule (Trial I) and also children vaccinated with the two-component DTPa according to either schedule (Trial II) were later offered a booster. See Section 2.15, Tables 9a-c.

In 2005 a revision of the national schedule was initiated. As a first step, a booster was recommended to children in school year 4 (age approx. 10 years) from autumn 2005. The first cohort recommended this 4th dose of Pa were children born 1995, i.e. the year before formal introduction of DTPa in infancy, because this cohort was to a large extent catch-up-vaccinated in 1996 (the coverage at 2 years was 60%, Section 3.4, Figure 9). No child born 1996 with a pertussis episode during 2005-2006 had yet received this booster dose during the present follow-up period.

The schedule revision was completed December 2006, and will include a 4th dose already at 4-6 years and a 5th dose at 14-16 years to children born from 2002.

2.2 Presently followed birth cohorts

Children born 1996 or later and residing outside the Gothenburg area at time of pertussis, and children born 1992 and who participated in Trial I [2], as well as children born 1993.06-1994.05 the recruitment cohort for Trial II [3], are followed continuously from 1 October 1997. Children born 1996 or later and residing in the Gothenburg area at time of pertussis are followed continuously from 1 January 2003 in the same way as children from other parts of the country. Originally the pertussis surveillance project covered all children born 1992 or later. In preparing a previous report, presented in March 2000, it was realised that accurate vaccination coverage data would not be available for some of the birth cohorts followed from the start of the project. It was then decided that cohorts that were subjected to catch up vaccination of unknown rates should be dropped from the surveillance project.

Children no longer under surveillance are those born 1992, except for children taking part of pertussis Trial I, and children born 1993.1-5 or 1994.6-1995.12. Earlier data for laboratory confirmed pertussis episodes for children in dropped cohorts are still maintained in the surveillance database but these episodes are not included in the yearly progress reports.

Detailed clinical follow-up was then restricted to children with a laboratory confirmed pertussis in the cohorts listed below. To each cohort there is a short description and an estimate of the vaccination rate.

1992	Children participating in Trial I.
1993.6-94.5	Children born June 1993 to May 1994 (in the county of Malmö also June 1994). This was the enrolment period for Stockholm Trial II a vaccine trial in which nearly 83,000 children were vaccinated. Rate of vaccination was just above 83%.
1996-97	Children born 1996. First cohort after the introduction of DTPa vaccination. Vaccine coverage for three doses Pa at 2 years of age is above 98%, according to the statistics from the Child Health Centres from 1999. Follow-up data is lacking for the period 1996-97.09.
1998	Children born 1998. Vaccine coverage for three doses Pa at 2 years of age was above 98%, according to the statistics from the Child Health Centres from 2001. This is the first one-year birth-cohort completely covered by this surveillance project.
1999-05	Children born 1999 - 2005. Part of the 2005 cohort still not fully immunised. For children born 1999-2003 the vaccine coverage for three doses Pa at 2 years of age was above 98%, according to the statistics from the Child Health Centres.
2006:1-9	Children born January to September 2006, still not fully vaccinated.

Results are first summarised for each annual birth cohort. Available data are then presented for three cohorts of children which can be characterised as follows:

- The 1993.6-94.5 cohort, a cohort which also includes all children enrolled in the Stockholm vaccine Trial II. For children in Trial II we have access to all pertussis vaccination data.
- The 1996-1997.9 cohort, nearly all children vaccinated with Infanrix.
- The 1997.10-2006.9 cohort, children vaccinated with either Infanrix, Pentavac, Infanrix-Polio-Hib or vaccinated in a mixed Infanrix/Pentavac schedule in some of the counties.

In all presentations in this nine year main surveillance report, children from the Gothenburg area are excluded.

2.3 Surveillance database in December 2006

There were 5 992 episodes of laboratory confirmed pertussis reported and entered in the surveillance database from the start of the enhanced follow-up on 1 October 1997 until the middle of December 2006 and representing pertussis episodes starting no later than September 30, 2006. Since the eight-year report 292 new cases of laboratory confirmed pertussis cases have been entered in the database for still followed birth cohorts.

From the Gothenburg area (area 14.2 in Fig 1) there were 1 347 reports from the routine reporting system entered in the surveillance database. Of remaining 4 691 episodes, 296 have not been possible to follow-

up for clinical data due to e.g. confidential phone numbers, language “problems” etc. Forty-five episodes with an onset of cough earlier than 1 October 1997 were also excluded from this report. All those reports were withdrawn before the statistical analysis for this main report.

After the above exclusions episodes for 4 304 children remain in the database. Those children were born between January, 1 1992 and September, 30 2006 and they had an onset of cough during a laboratory positive pertussis episode which occurred between October, 1 1997 and September, 30 2006. They were living in households, outside the Gothenburg area, which have been possible to contact for data on both vaccinations and clinical follow-up.

Before statistical analysis, also episodes (1 880) for cohorts not under surveillance any longer, see Section 2.2, were excluded.

2.4 Laboratory confirmed pertussis cases used for this report

In sections 2.5 – 2.13 we present results for the remaining 2 424 episodes of laboratory confirmed pertussis – 1 825 episodes among children born between January, 1 1996 and September, 30 2006 and 599 born according to the recruitment period for Trial II. Compared to the eight year report 276 new cases of laboratory confirmed pertussis were used in this main nine-year report. Vaccine failures among participants in Trial II are reported separately in Section 2.15, also including vaccine failures in Trial I participants.

In section 2.16, we present results on hospitalisation for children born January 1996 until September 2006 for whom we have data on length of hospitalisation (1 819). Results for complications (1 818) due to the pertussis illness during the pertussis episode and the duration of spasmodic cough (1 825) are found in sections 2.17 and 2.18. Treatment with antibiotics is covered in section 2.19.

There was one child with two episodes of pertussis. His/her first episode was at 14 months of age, and the second at 5 years .

Finally, there are laboratory reports in the database for nine children who died due to the pertussis disease. For ethical reasons the parents of these parents have not been contacted and hence there are no clinical follow-up data, except for the information obtained from medical personnel (summarised in section 2.17). These children are not included among the “remaining” 2 424.

2.5 Laboratory confirmed pertussis per calendar period & birth cohort

All “remaining” 2 424 laboratory confirmed cases of pertussis were divided on the still followed birth-cohorts and calendar periods for onset of cough (or, if no cough – 5 children, whereof 3 born 1996-2006.09 – during the episode, for date of the positive sample) at the episode. Table 1a report cases among children born 1996 or later, the DTPa vaccination period (1 825) and Table1b cases among children born during enrolment period of Trial II (599).

Table 1a Reported laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006 per birth-cohort and period of onset of cough. In italics, below, number of children with two or more doses of a pertussis vaccine given prior to the positive episode are given.

Birth-cohort	Calendar period, for onset of cough, for laboratory confirmed cases of pertussis										Total
	1997 Q4	1998	1999	2000	2001	2002	2003	2004	2005	2006 Q1-Q3	
1996	5	18	40	41	20	39	14	60	41	8	286
	3	15	35	35	16	39	14	55	38	7	257
1997	23	29	19	25	17	32	7	25	26	8	211
	6	15	19	22	14	29	4	20	25	7	161
1998	-	61	36	14	7	17	12	34	40	14	235
	-	7	20	12	4	15	11	33	40	13	155
1999	-	-	96	65	9	19	8	18	25	10	250
	-	-	6	23	6	14	4	13	24	9	99
2000	-	-	-	88	31	16	7	21	14	20	197
	-	-	-	5	6	9	6	14	11	16	67
2001	-	-	-	-	33	21	8	16	13	9	100
	-	-	-	-	3	10	7	11	10	7	48
2002	-	-	-	-	-	98	15	15	10	6	144
	-	-	-	-	-	3	3	10	9	5	30
2003	-	-	-	-	-	-	52	40	11	4	107
	-	-	-	-	-	-	2	17	10	4	33
2004	-	-	-	-	-	-	-	116	40	9	165
	-	-	-	-	-	-	-	4	16	8	28
2005	-	-	-	-	-	-	-	-	74	13	87
	-	-	-	-	-	-	-	-	0	4	4
2006 Q1-Q3	-	-	-	-	-	-	-	-	-	43	43
										1	1
Total	28	108	191	233	117	242	123	345	294	144	1 825
	9	37	80	97	49	119	51	177	183	81	883

Table 1b Reported laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006 for the birth-cohort covering the Trial II recruitment period, per period of onset of cough. In italics, below, number of children with two or more doses of a pertussis vaccine given prior to the positive episode are given.

Birth-cohort	Calendar period, for onset of cough, for laboratory confirmed cases of pertussis										Total
	1997 Q4	1998	1999	2000	2001	2002	2003	2004	2005	2006 Q1-Q3	
1993.6-1994.5	21	79	167	138	51	43	18	36	37	9	599
	8	28	63	55	20	11	8	23	21	7	244

2.6 Laboratory confirmed pertussis among unimmunised children

Among 2 424 followed children with laboratory confirmed pertussis, 1 025 (42,3%) had not received a pertussis vaccine prior to the illness. Figures for unimmunised children are given in Tables 2a and 2b.

Table 2a Number of reported laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006, per birth-cohort and per period of onset of cough for unimmunised children (i.e. children who have not received any pertussis vaccine before onset of cough).

Birth-cohort	Calendar period, for onset of cough, for laboratory confirmed cases of pertussis										Total
	1997 Q4	1998	1999	2000	2001	2002	2003	2004	2005	2006 Q1-Q3	
1996	2	3	5	6	3	0	0	5	2	1	27
1997	12	8	0	3	3	3	3	5	1	1	39
1998	-	38	7	2	3	1	1	1	0	1	54
1999	-	-	61	26	3	5	4	5	1	1	106
2000	-	-	-	58	19	7	1	6	3	4	98
2001	-	-	-	-	22	5	1	4	3	2	37
2002	-	-	-	-	-	66	5	5	1	1	78
2003	-	-	-	-	-	-	41	16	1	0	58
2004	-	-	-	-	-	-	-	77	13	1	91
2005	-	-	-	-	-	-	-	-	60	5	65
2006 Q1-Q3	-	-	-	-	-	-	-	-	-	27	27
Total	14	49	73	95	53	87	56	124	85	44	680

Table 2b Number of reported laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006, for the birth-cohort corresponding to the recruitment period of Trial II and per period of onset of cough for unimmunised children (i.e. children who have not received any pertussis vaccine before onset of cough).

Birth-cohort	Calendar period, for onset of cough, for laboratory confirmed cases of pertussis										Total
	1997 Q4	1998	1999	2000	2001	2002	2003	2004	2005	2006 Q1-Q3	
1993.6-1994.5	12	50	101	80	31	32	9	12	16	2	345

In birth cohort 1993.6 - 1994.5, a majority, 345 of 599 (57,6%), of the followed children with laboratory confirmed pertussis had not been vaccinated, and were (thus) not participants in Trial II.

In the 1996 birth cohort there were few laboratory confirmed cases among the unimmunised (9,4%) due to the very high vaccine coverage. Most children in the cohort had in fact received three vaccine doses before the present follow-up started in October 1997. (Table 2a and Table 1a). In all, 680 of 1825 episodes (37,3%), among children born 1996 or later, occurred among the unimmunised.

All 1 025 episodes, but one, among the unimmunised children were symptomatic according to the clinical follow-up. The minimum duration of cough, if cough, was 9 days - the median duration was 47 days. Spasmodic cough for 21 days or more (episodes according to the WHO-definition) was reported for 90,7% of the episodes - the median duration was 37 days. For 41 (4,0%) of the episodes there were no spasmodic cough at all.

Table 3 shows for 624 unimmunised children born from October 1997 or later (i.e. children born during the period for the pertussis surveillance) the age distribution of the laboratory confirmed cases at onset of cough. Most of the pertussis cases (70%) in this subgroup of unimmunised children occurred before three months of age, i.e. before the scheduled first dose of a DTPa-containing vaccine, 16% occurred between 3 and 12 months of age, i.e. during the “normal” period for pertussis vaccinations and 14% occurred after one year of age.

Table 3 Age at onset of cough for 624 laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006, among unimmunised children born from October 1, 1997 or later.

Birth cohorts 1997 Q3 - 2006 Q3		
Age at onset of cough	Number	%
0 – 30 days	101	18
31 – 60 days	169	27
61 – 90 days	156	25
91 – 120 days	57	9
121 – 150 days	17	3
151 – 180 days	8	1
181 – 365 days	19	3
≥366 days	88	14
Total	624	100

2.7 Laboratory confirmed pertussis among vaccinated children

Among 2 424 reported children 1 399 (57,7%) had received at least one dose of a pertussis vaccine prior to onset of the pertussis episode - 989 children had received 3-4 doses or 2 doses after two years of age (2 children), 138 had received 2 doses and 272 had received only one dose of pertussis vaccine.

One thousand one hundred and forty-five children born from 1996 and vaccinated with at least one dose of a pertussis vaccine had a laboratory confirmed pertussis between October 1, 1997 and September 30, 2006. Among those children 752 (65,7%) had received a full primary series (i.e. they are vaccinated according to the Swedish schedule in infancy, with 3 doses of DTPa within the first two years of life, or with 2 doses of a monovalent Pa vaccine after two years of age) before onset of cough in the pertussis episode. One hundred and thirty-one children (11,4%) had received two doses and 262 (22,9%) one dose before the pertussis episode.

In the birth cohort that corresponds to the recruitment phase of Trial II, 254 vaccinated children had a laboratory confirmed pertussis episode, 237 (93,3%) had received a full primary series before onset of the confirmed pertussis episode, 7 had received 2 doses and 10 one dose before onset of cough. Most of those children, two hundred and forty-two of the 254, participated in vaccine Trial II. Detailed data for vaccine failures among Trial II children with three or four doses (for 230 of the 242 children) are given in section 2.15.

All children but four of the vaccinated were coughing during the pertussis episode. The minimum duration of cough, if cough, was 3 days – the median duration was 45 days. Spasmodic cough for 21 days or more (WHO-definition) was reported for 78,1% of the episodes (compared to 90,7% for the unimmunised children) – the median duration was 33 days. For 14,3% of the episodes there was no spasmodic cough compared to 4,1% for the unimmunised children. The relatively small difference between the proportion of cases meeting the WHO case definition in vaccinated and unimmunised children is not in accordance with data in the randomised controlled trials in 1992-5 and 1993-96, and suggests an underreporting of mild cases among vaccinated children.

2.8 Laboratory confirmed pertussis in children born 1996 or later

In sections 2.10 - 2.13 data for laboratory confirmed episodes observed from October 1, 1997 until September 30, 2006 among children born from 1996 until September 30, 2006 are summarised.

Children were divided in two sub-cohorts; children born from 1996 until September 30, 1997, and children born from October 1, 1997 until September 30, 2006. We regard the first cohort a "pure"

Infanrix cohort, since that vaccine was the solely used pertussis vaccine for this birth-cohort in the areas in Sweden for the present surveillance. The second cohort has been more complex to analyse since the procurement of vaccines has varied considerably among the counties for children born after September 1997 (Figure 1). The calendar time for the switch of vaccines has varied between counties, and replacement may take place immediately or be phased in by time. Thus, there are many children who received a mixed schedule of vaccines. However, with some minor approximations, we have been able to split the second cohort of children in three geographically/calendar time sub-cohorts; children with a "pure" three-component schedule (Infanrix®/Infanrix-Polio+Hib®); children with a "pure" two-component schedule (Pentavac®); or children with a "mixed" two/three-component schedule (Infanrix®/Pentavac® or Infanrix®-Polio+Hib®/Pentavac®). Laboratory confirmed cases of pertussis as well as person time of follow up could be split between the three sub-cohorts. This sub-cohort analysis is presented in a separate Appendix 2 for each vaccine.

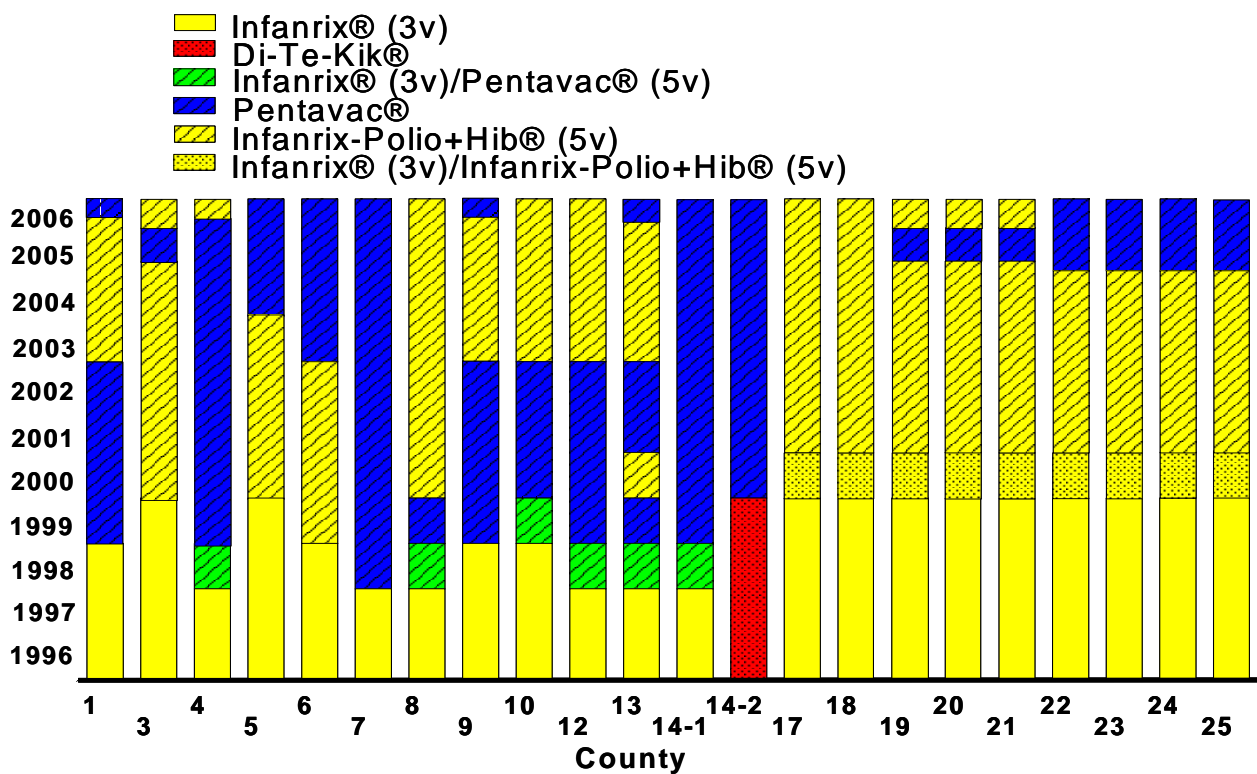


Figure 1 Procurement of vaccines by county 1996–2006. County number on the X-axis and on the map are given below (p 23), and county name and population figure are given on next page (p 21) 1



Table 4 Population in Sweden 2004 by county.

No.	County	2004 Mid year population	No.	County	2004 Mid year population
1	Stockholm	1 866 886	14	V Götaland	1 518 444
3	Uppsala	301 530	17	Värmland	273 555
4	Södermanland	260 725	18	Örebro	273 928
5	Östergötland	415 444	19	Västmanland	260 570
6	Jönköping	328 323	20	Dalarna	276 281
7	Kronoberg	177 867	21	Gävleborg	276 731
8	Kalmar	234 691	22	Västernorrland	244 150
9	Gotland	57 598	23	Jämtland	127 535
10	Blekinge	150 112	24	Västerbotten	256 416
12	Skåne	1 156 808	25	Norrbottn	252 730
13	Halland	282 555		Sweden	8 993 531

2.9 Person-time of follow-up & incidence calculations

Tables 5 and 7 (rightmost column) respectively give the number of laboratory confirmed pertussis cases used in the incidence calculations that follow in sections 2.11 (Table 6a and b) and 2.13 (Table 8a and b).

Sub-cohort analysis for the vaccine specific cohorts for children born from October 1, 1997 until September 30, 2006 are presented in Appendix 2. Carefully, observe that figures in table 7 are modified before the calculations presented in Appendix 2. The reclassification mainly concerns children in table 7 who have received one or two doses of Infanrix® (or Infanrix-Polio+Hib®) before onset of cough. If one of those children is living in a county that later switched from Infanrix®/Infanrix-Polio+Hib® to Pentavac®, there is a possibility that a later dose should have been from the other vaccine, then the child instead is reclassified to the mixed cohort

To some extent the reclassification of cases of table 7, for the vaccine specific analysis, also concerns children with only Pentavac® vaccination(s). It might be e.g., that the vaccination with Pentavac® started earlier in some counties (or part of the county) compared to the information we use for splitting the cohort in three parts - see discussion in section 2.8. However only a few of the laboratory confirmed pertussis cases with only Pentavac® vaccination(s) are "misclassified" according to the information on the time for the switch we have got from the counties. We take this as an evidence that it is meaningful to use this county-specific information for person-time and incidence calculations in two sub-cohorts. Table I in Appendix 2 reports the number of laboratory confirmed cases and Tables II a and b the incidence figures for the "pure" Infanrix®/Infanrix-Polio+Hib® respectively the "pure" Pentavac® schedule.

2.10 Laboratory confirmed pertussis in children born 1996 - Sept. 30, 1997

This cohort of children was the first one in the regular vaccination program that included a Pa vaccine in the 3, 5 and 12-month schedule. Infanrix (DTPa) was licensed in the beginning of 1996 and was then the only used DTPa vaccine outside Gothenburg area. Available figures show vaccine coverage at about 98% for children born 1996. Nearly all children born 1996 until September 30, 1997 have received three doses of Infanrix®. We regard this birth cohort a "pure" Infanrix® cohort. Results are presented in Table 5.

For this cohort of children there were 454 reports of laboratory confirmed pertussis in the database from October 1, 1997 until September 30, 2006. Fifty-six of these reports concern children without any pertussis vaccination prior to onset of the pertussis episode. Nine children had received one dose, 19 children two doses and 370 children were fully vaccinated before the episode. Five of the unimmunised children were younger than 3 months, four between 3 and 5 months, two between 5 and 12 months and 45 were older than 12 months of age at onset of cough. All, but seven, of the unimmunised children had spasmodic cough for at least 21 days, Table 5.

Eighty-eight percent of the unimmunised and 77% of the vaccinated children had spasmodic cough for 21 days or more.

Table 5 Laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006, among children born from 1996 until September 30, 1997 divided per birth-cohort, number of vaccine doses before episode and pertussis vaccine prior to the episode. (The number of cases with 21 or more days of spasmodic cough is given in parenthesis).

Birth-cohort	Number of doses of a pertussis vaccine	Not immunised before onset of episode No. (>=21)	Only Infanrix vaccine No. (>=21)	Other vaccine/ Mixed vaccines No. (>=21)	Total No. (>=21)
1996	0	27	-	-	27 (24)
	1	-	1	1	2 (2)
	2	-	5	0	5 (4)
	3-4	-	246	6	252 (193)
1997.1 - 9	0	29	-	-	29 (25)
	1	-	7	0	7 (5)
	2	-	14	0	14 (7)
	3	-	113	5	118 (95)
Total	0	56 (49)	-	-	56 (49)
	1	-	8 (6)	1 (1)	9 (7)
	2	-	19 (11)	0	19 (11)
	3-4	-	359 (278)	11 (10)	370 (288)
Total	-	56 (49)	386 (295)	12 (11)	454 (355)

2.11 Person-time & incidence in children born 1996 - September 30, 1997

According to Statistics Sweden 95 158 children were born 1996 and 90 383 children were born during 1997. County specific figures show that 9,1% of the children were born in the Gothenburg and surrounding areas, not included in the main nine-year report, and we end up with an estimate of about 147 570 children who were born in our follow-up areas between 1996 and September 30, 1997.

To simplify person-time calculations we assume an equal number of new-born during each of the 21 birth months – i.e. 7 030 children per month. We also assume that all children were born in the middle of the month and that vaccination according to the regular schedule took place in the middle of the third, fifth and twelfth month after the day of birth. With these simplifications we estimated the number of person-months for each monthly cohort of new-borns in the following intervals and according to the rules above:

- Person-months from birth to the first of September 30, 2006 or Dose 1.
- Person-months between Dose 1 and the first of September 30, 2006 or Dose 2.
- Person-months between Dose 2 and the first of September 30, 2006 or Dose 3.
- Person-months after Dose 3 until September 30, 2006.

Person-time before October 1, 1997 will not be included since the collection of laboratory confirmed cases of pertussis started from that date.

Children born 1996 until September 30, 1997 were followed from October 1, 1997 until September 30, 2006. Altogether approximately 147 570 children have been followed for approximately 1 328 670 person-years of follow-up. During follow-up 454 cases of laboratory confirmed pertussis have been reported to the surveillance system - 398 cases among vaccinated and 56 among unimmunised children (Table 5).

Table 6a presents the total number of person-years and laboratory confirmed pertussis cases divided in age/vaccination intervals described above. In Table 6b the interval after 1 year of age is divided in nine one-year intervals. One must bear in mind that children in this cohort lack follow-up in the surveillance program for the period before October 1997.

Table 6a Person-time of follow-up for children born from 1996 until September 30, 1997 and followed from October 1, 1997 until September 30, 2006 divided by period before Dose 1 (before 3 months of age), period after Dose 1 before Dose 2, period after Dose 2 before Dose 3 and period after Dose 3. Number of observed cases in the different periods and incidence per 100 000 person-years of follow-up irrespective of vaccine are also given. In parenthesis are given figures including the unimmunised children of respective age group (intent to treat). *In Italics, in the second row, are the corresponding figures for children with 21 or more days of spasmodic cough.*

Period or (Age) ²	Person-years of follow-up	Number of laboratory confirmed cases	Incidence per 100 000 person-years	95% confidence interval for incidence per 100 000 person-years
Before Dose 1 (<3 months of age)	2 635	(5) (4)	(190) (152)	(62-443) (41-389)
Between Dose 1 and 2 (3- <5 months of age)	4 685	9 (13) 7 (10)	192 (277) 149 (213)	88-365 (148-474) 60-308 (102-393)
Between Dose 2 and 3 (5- <12 months of age)	34 860	19 (21) 11 (12)	55 (60) 32 (34)	32-85 (37-92) 16-56 (18-60)
After Dose 3 (>=12 months of age)	1 286 490	370 (415) 288 (329)	29 (32) 22 (26)	25-32 (29-36) 20-25 (23-29)

Table 6b Person-time of follow-up after Dose 3, or from 12 months of age, for children born from 1996 until September 30, 1997, and followed from October 1, 1997 until September 30, 2006. Follow-up is divided in nine one-year age intervals. (see also legend Table 6a)

Period ³	Person-years of follow-up	Number of laboratory confirmed cases	Incidence per 100 000 person-years	95% confidence interval for incidence per 100 000 person-years
After Dose 3 and/or between 12 and <24 months of age	123 905	13 (18) 9 (14)	10 (15) 7 (11)	6-18 (9-23) 3-14 (6-19)
After Dose 3 and/or between 24 and <36 months of age	147 630	41 (45) 36 (40)	28 (30) 24 (27)	20-38 (22-41) 17-34 (19-37)
After Dose 3 and/or between 36 and <48 months of age	147 630	51 (58) 40 (46)	35 (39) 27 (31)	26-45 (29-51) 19-37 (23-42)
After Dose 3 and/or between 48 and <60 month of age	147 630	44 (52) 34 (42)	30 (35) 23 (28)	22-40 (26-46) 16-32 (21-38)
After Dose 3 and/or between 60 and <72 month of age	147 630	37 (43) 28 (33)	25 (29) 19 (22)	18-35 (21-39) 13-27 (15-31)
After Dose 3 and/or between 72 and <84 month of age	147 630	42 (43) 34 (35)	28 (29) 23 (24)	21-38 (21-39) 16-32 (17-33)
After Dose 3 and/or between 84 and <96 month of age	147 630	45 (51) 33 (38)	30 (35) 22 (26)	22-41 (26-45) 15-31 (18-35)
After Dose 3 and/or between 96 and <108 months of age	147 630	62 (68) 50 (55)	42 (46) 34 (37)	32-54 (36-58) 25-45 (28-48)
After Dose 3 and/or from 108 months of age	129 175	35 (37) 24 (26)	27 (29) 19 (20)	19-38 (20-39) 12-28 (13-29)

² Age intervals in the heading classifies the unimmunised children

³ For both children with three doses prior to onset of cough and for the unimmunised children

2.12 Laboratory confirmed pertussis in children born October 1, 1997 or later

Since the vaccination period for children born October 1, 1997 or later coincides with the period of collecting laboratory confirmed cases of pertussis all laboratory confirmed pertussis cases.

For children in this cohort there were 1 371 reports of laboratory confirmed pertussis in the database for episodes between October 1, 1997 and September 30, 2006, whereof 624 reports concern children without any pertussis vaccination prior to onset of the pertussis episode and 747 children had received at least one dose before the episode, Table 7. Most children vaccinated with two or three doses and classified to the group named "Other vaccine/Mixed schedule" (92 children) were first vaccinated with Infanrix®, then with Pentavac®. The other 402 children with two or three doses prior to the episode were vaccinated with the same vaccine, Infanrix®/Infanrix-Polio+Hib® or Pentavac®, at all vaccinations.

Table 7 Laboratory confirmed cases of pertussis from October 1, 1997 until September 30, 2006, among children born October 1, 1997 until September 30, 2006 divided per birth-cohort, number of vaccine doses before episode and pertussis vaccine prior to the episode. (No. of cases with 21 or more days of spasmodic cough in parenthesis).

Birth-cohort	Number of doses of a pertussis vaccine	Not immunised No. (>=21)	Only Infanrix vaccine No. (>=21)	Only Pentavac vaccine No. (>=21)	Other vaccine/ Mixed vaccines No. (>=21)	Total No. (>=21)
1997.10 – 12	0	10	-	-	-	10 (9)
	1	-	4	-	0	4 (4)
	2	-	5	-	0	5 (4)
	3	-	23	-	1	24 (22)
1998	0	54	-	-	-	54 (47)
	1	-	19	7	0	26 (20)
	2	-	14	1	6	21 (15)
	3	-	52	26	56	134 (102)
1999	0	106	-	-	-	106 (96)
	1	-	14	30	1	45 (42)
	2	-	11	14	0	25 (18)
	3	-	29	37	8	74 (56)
2000	0	98				98 (93)
	1	-	15	17	0	32 (26)
	2	-	3	6	0	9 (7)
	3	-	21	30	7	58 (40)
2001	0	37				37 (35)
	1	-	1	13	1	15 (13)
	2	-	5	6	0	11 (7)
	3	-	8	25	4	37 (28)
2002	0	78				78 (73)
	1	-	12	24	0	36 (30)
	2	-	0	4	0	4 (4)
	3	-	5	18	3	26 (19)
2003	0	58	-	-	-	58 (49)
	1	-	9	7	0	16 (13)
	2	-	6	8	0	14 (11)
	3	-	11	4	4	19 (15)

2004	0	91				91	(81)
	1		31	14	1	46	(41)
	2		10	8	0	18	(14)
	3		3	4	3	10	(7)
2005	0	65	-	-	-	65	(57)
	1		7	9	2	18	(16)
	2		1	3	0	4	(2)
	3		0	0	0	0	
2006.09	0	27	-	-	-	27	(25)
	1		8	6	1	15	(14)
	2		0	0	1	1	(0)
	3		-	-	-	-	
Total	0	624	-	-	-	624	(565)
Total	1	-	120	(107)	127	(106)	6 (6) 253 (219)
Total	2	-	55	(42)	50	(35)	7 (5) 112 (82)
Total	3	-	152	(122)	144	(106)	86 (61) 382 (289)
Total	0 – 3	624	(565)	327	(271)	321	(247) 99 (72) 1371 (1155)

Among the 624 unimmunised children 435 (70%) were younger than 3 months at the onset of the episode, i.e. they started to cough before the scheduled first dose of acellular pertussis vaccine. Seventy-four were between 3 and 5 months of age, 27 between 5 and 12 months of age and 88 were older than 12 months at the onset.

Ninety-one percent of the unimmunised children had spasmodic cough for 21 or more days during the episode. For Infanrix®/Infanrix-Polio+Hib® and Pentavac® recipients the corresponding figures were 80%, and 78%, respectively.

2.13 Person-time & incidence in children born Oct. 1, 1997 - Sept. 30, 2006

According to data from Statistics Sweden (<http://www.scb.se>) there were 90 383 children born during 1997, 89 028 during 1998, 88 176 during 1999, 90 441 during year 2000, 91 466 during 2001, 95 815 during 2002 and 99 230 during 2003. In 2004 and 2005, 101 090 respectively 101 346 children were born and according to preliminary figures for 2006 there were 81 948 children born until September 30, 2006. Thus, there were considerably more new-borns during 2002 until 2006.09 compared to 1997 until 2001.

To simplify person-time calculations we assume an equal number of new-born children during each of the 60 calendar months of birth's for the period October 1, 1997 until September 30, 2002 - 6 800 children per month. For the period October 1, 2002 until September 30, 2006 we take into account the larger birth cohorts of 2002 until 2006. For each of the 48 calendar months in this period we calculate person time of follow-up with 7 650 children per month. Altogether approximately 778 000 children have been followed for approximately 3 386 million years of follow-up.

Table 8a and 8b gives the total number of person-months and laboratory confirmed pertussis cases divided in age/vaccination intervals.

Table 8a Person-time of follow-up for children born from October 1, 1997 until September 30, 2006 and followed from October 1, 1997 until September 30, 2006 divided by period before Dose 1 (< 3 months of age), period after Dose 1 before Dose 2, period after Dose 2 before Dose 3 and period after Dose 3. Number of observed cases in the different periods and incidence per 100,000 person-years irrespective of vaccine is also given. In parenthesis are given figures including the unimmunised children of respective age group (intent to treat). *In Italics are the corresponding figures for children with 21 or more days of spasmodic cough.*

Period or (Age) ⁴	Person-years of follow-up	Number of observed laboratory confirmed cases	Incidence per 100 000 person-years	95% confidence interval for incidence per 100 000 person-years
Before Dose 1 (<3 months of age)	190 930	(435) (387)	(228) (203)	(207-250) (183-224)
Between Dose 1 and 2 (3- <5 months of age)	124 100	253 (327) 219 (285)	204 (263) 176 (230)	180-230 (236-294) 154-202 (204-257)
Between Dose2 and 3 (5- <12 months of age)	414 270	112 (139) 82 (108)	27 (34) 20 (26)	22-32 (28-40) 16-25 (21-32)
After Dose 3 (>=12 months of age)	2 657 100	382 (470) 289 (375)	14 (18) 11 (14)	13-16 (16-19) 10-12 (13-16)

Table 8b Person-time of follow-up after Dose 3, or from 12 months of age for children born from October 1, 1997 until September 30, 2006, and followed from October 1, 1997 until September 30, 2006. Follow-up is divided in eight age intervals. (see also legend Table 8a)

Period	Person-years of follow-up	Number of observed laboratory confirmed cases	Incidence per 100 000 person-years	95% confidence interval for incidence per 100 000 person-years
After Dose 3 and/or between 12 and <24 months of age	637 500	54 (82) 41 (68)	8 (13) 6 (11)	6-11 (10-16) 5-9 (8-14)
After Dose 3 and/or between 24 and <36 months of age	545 700	61 (79) 47 (65)	11 (14) 9 (12)	9-14 (11-18) 6-11 (9-15)
After Dose 3 and/or between 36 and <48 months of age	453 900	47 (58) 36 (47)	10 (13) 8 (10)	8-14 (10-17) 6-11 (8-14)
After Dose 3 and/or between 48 and <60 months of age	367 200	52 (67) 34 (49)	14 (18) 9 (13)	11-19 (14-23) 6-13 (10-18)
After Dose 3 and/or between 60 and <72 months of age	285 600	58 (68) 41 (50)	20 (24) 14 (18)	15-26 (18-30) 10-19 (13-23)
After Dose 3 and/or between 72 and <84 months of age	204 000	58 (61) 46 (49)	28 (30) 23 (24)	22-37 (23-38) 17-30 (18-32)
After Dose 3 and/or between 84 and <96 months of age	122 400	46 (49) 38 (41)	38 (40) 31 (33)	28-50 (30-53) 22-43 (24-45)
After Dose 3 and/or from 96 months of age	40 800	6 (6) 6 (6)	15 (15) 15 (15)	5-32 (5-32) 5-32 (5-32)

Compared to Table 6b the incidence was at about the same for the first age-interval but lower for the others. The observed differences between the two cohorts might depend on variations of the general exposure to pertussis in Sweden during follow-up from 1997 to 2006 as described in Section 3, Figure 6b

⁴ Age intervals in the heading classifies only the unimmunised children

2.14 Caveats in estimating vaccine specific effectiveness

There are a number of caveats that need to be considered before any attempts are made to perform any vaccine specific estimates of effectiveness, some of them discussed in the study protocol, from 8 September 1997, page 8:

“The study is explorative, aiming at estimating the effectiveness of individual vaccines and the detection of potential changes in circulating Bordetella strains.

The design is open and non-randomised, and case ascertainment based on routine surveillance of laboratory confirmed pertussis. Exposure to different pertussis vaccines varies with birth cohort and geographic areas. Therefore, comparisons between vaccines should be avoided and analyses of vaccine effectiveness should be limited to well defined age groups and locations.

Statistical analysis should be carried out according to written plans approved by the advisory group.”

Data so far accumulated illustrate the difficulties inherent in routine surveillance. We have no control over the rate of ascertained cases in unimmunised versus vaccinated, nor in infants by age in months, or infants by number of received doses.

Data suggest progressive underreporting of cases with increasing age and number of doses rendering any estimates of effectiveness inflated as compared to vaccine efficacy estimates obtained in randomised placebo controlled trials. In fact, the underreporting of cases among vaccinated children may well obscure any true differences between vaccines.

Therefore, data from the present surveillance scheme should only be used for an overall assessment of changes in pertussis incidence after reintroduction of pertussis vaccine, and do not permit comparisons between vaccines. To avoid undue comparisons between vaccines the advisory group agreed at a meeting in Stockholm 12 April 2002 that a separate Appendix 2 should be prepared for vaccine specific data for each manufacturer to be used for internal distribution and submissions to regulatory bodies.

There are other constraints secondary to the underreporting of cases among vaccinated children. The counties are free to change vaccines when a new tender is due, the possibility to accumulate sufficient person months of follow up may thus be hampered. We should also expect the pertussis incidence to decline further as more birth cohorts are vaccinated. Finally, the recently implemented school booster will add complexity to the analysis.

2.15 Laboratory confirmed pertussis in previous trial cohorts

The following tables, 9a-c, summarises the number of cases reported among Trial I children born 1992, and among children born 1993.6-1994.5 who participated in Trial II.

Table 9a reports laboratory confirmed cases of pertussis during follow-up period from October 1, 1997 until September 30, 2006 among children with 3 or 4 doses before onset of cough. During nine-year of follow-up there were 19 more cases in the Trial II cohort compared to the eight-year report. In all there were 249 cases of laboratory confirmed pertussis participants in Trial I and Trial II who had received 3 trial doses. Tables 9a and 9b include children vaccinated in either a 2, 4 and 6 or a 3, 5 and 12 months schedule

The overall incidence was 32 per 100,000 person years of follow-up (Table 9b). The trial participants were between 4 and 14 years old during the follow-up period and received the primary series of pertussis vaccine before 1 year of age. Due to poor efficacy shown in Trial I, US DTPw, and in both trials, DTPa2, the recipients of these vaccines were offered a fourth dose of acellular pertussis vaccines. The overall pertussis incidence for the trial children was similar to the incidence observed between dose 2 and 3, but higher than that measured after dose 3, among children born from 1996 until September 30, 2006, Table A. Interestingly, the estimated incidence after four doses in the DTPa2 trial arm (22/100 000 person years) in Trial II was in the lower range of the three vaccines, DTPa3, DTPa5 and DTPw, all shown to be

efficacious in Trial II. Among the three, the five-component vaccine had the highest incidence (39/100 000 person years)

Table 9a Laboratory confirmed cases among participants in Trial I and Trial II

Trial vaccines	1997 Q4	1998	1999	2000	2001	2002	2003	2004	2005	2006 Q1- Q3	Total
Trial I											
<i>3d CLI DTPw</i>	0	0	0	1	0	1	0	0	0	0	2
<i>3d CLI DTPw + 1 d. CLL Pa5</i>	0	0	0	0	0	0	0	0	0	0	0
<i>3d SB DTPa2</i>	0	0	1	0	0	0	0	0	0	1	2
<i>3d SB DTPa2 + 1 d. SB Pa3</i>	0	1	1	0	1	0	0	1	0	0	4
<i>3d CLL DTPa5</i>	1	1	1	4	2	1	0	1	0	0	11
Sum	1	2	3	5	3	2	0	2	0	1	19
Trial II											
<i>3d Evans DTPw</i>	0	4	18	4	3	3	4	6	2	3	47
<i>3d SB DTPa2</i>	0	6	5	5	1	1	0	6	7	2	33
<i>3d SB DTPa2 + 1 d. SB Pa3</i>	3	4	8	8	4	0	0	0	0	0	27
<i>3d Chiron DTPa3</i>	1	5	11	18	6	2	1	5	3	0	52
<i>3d CLL DTPa5</i>	4	6	18	18	6	4	3	4	6	2	71
Sum	8	25	60	53	20	10	8	21	18	7	230
Total Trials I & II	9	27	63	58	23	12	8	23	18	8	249

Table 9b No. of laboratory confirmed cases among participants in Trial I and Trial II from October 1, 1997 until September 30, 2006 (see Table 9a), no. of fully vaccinated children, estimated person years of follow up, and incidence per 100 000 person years of follow up during the nine year period.

Trial vaccines	Enrolled children	Person years of follow-up	No. of laboratory confirmed cases	Incidence/ 100 000 person years	95% c.i.
Trial I					
<i>3d CLI DTPw +/-1 d. CLL Pa5</i>	2 001	18 009	2	11	1 - 40
<i>3d SB DTPa2 +/-1 d. SB Pa3</i>	2 538	22 842	6	26	10 - 57
<i>3d CLL DTPa5,</i>	2 551	22 959	11	48	24 - 86
Trial II					
<i>3d Evans DTPw</i>	19 971	179 739	47	26	19 - 35
<i>3d SB DTPa2</i>	6 444	57 996	33	57	39 - 80
<i>3d SB DTPa2 + 1 d. SB Pa3</i>	13 731	123 579	27	22	14 - 32
<i>3d Chiron DTPa3</i>	20 239	182 151	52	29	21 - 37
<i>3d CLL DTPa5</i>	20 230	182070	71	39	30 - 49
Total Trials I & II	87 705	789 345	249	32	28 - 36

Table 9c shows the incidence figures during the nine-year follow up for children immunized at 3, 5 and 12 months of age in Trial II. The overall rate varies from 22/100 000 in the DTPw group compared to 29-54 /100 000 in the DTPa groups who had received three doses of a pertussis vaccine. It also demonstrates the relative risk of pertussis for acellular vaccine recipients compared to recipients of the British whole cell vaccine Evans DTPw.

Comparing recipients of 3doses CLL DTPa5 or 3doses Chiron DTPa3 with recipients of 3doses Evans DTPw gave the following result, RR=1.47 (0.99 – 2.16).

Table 9c Number of culture- or PCR-confirmed pertussis cases and incidence per 100 000 person years of follow up among participants who had followed the 3, 5, 12 months schedule in the 1993-96 randomised controlled pertussis vaccine trial [3] reported from October 1, 1997 until September 30, 2006 at 3 to 13 years of age. Relative risks are given for acellular vaccine recipients compared to recipients of the British whole cell vaccine Evans DTPw.

Trial cohort (vaccines)	No of children	Person years of follow-up	No. of laboratory confirmed cases	Incidence/ 100 000 PY 95% confidence intervals	RR 95% confidence intervals
<i>3d Evans DTPw</i>	17 495	157 455	34	22 15 - 30	1.00
<i>3d SB DTPa2</i>	5 542	49 878	27	54 36 - 79	2.51 1.51 – 4.16
<i>3d SB DTPa2 + 1 d. SB Pa3</i>	12 122	109 098	20	18 11 - 28	0.85 0.49 – 1.47
<i>3d Chiron DTPa3</i>	17 739	159 651	47	29 22 - 39	1.36 0.88 – 2.12
<i>3d CLL DTPa5</i>	17 728	159 552	54	34 26 - 44	1.57 1.02 – 2.41
Total Trial II	70 626	635 634	182	29 24 - 33	

2.16 Hospital admission for pertussis

Data on hospitalisation, defined as at least one night at hospital due to the pertussis disease during the episode, was available for 1 819 of 1 825 children born from 1996 until September 30, 2006 (see section 2.4). Four hundred and sixty-one (25%) of the children had a hospital admission during the pertussis episode and 1 358 had none.

2.16.1 Hospital admission and age at the pertussis episode

In all 312 of 441 infants (71%), who were below 3 months of age at start of the pertussis episode, were hospitalised. The corresponding rates, regardless of vaccination status at the episode, for 272 children in age-group 3-<5 months, for 209 children in age-group 5-<12 months and for 897 children from 12 months of age at the beginning of the pertussis episode were respectively 37%, 15% and 2% (Table 10).

Age specific incidence rates of hospitalisation due to pertussis per 100 000 years of follow up in the four age groups are shown in Figure 2 (lower curve). For comparison the figure also gives the age specific incidence rates for all pertussis (upper curve). Person time of follow up for incidence calculations for the four age groups was taken from Table A in the executive summary.

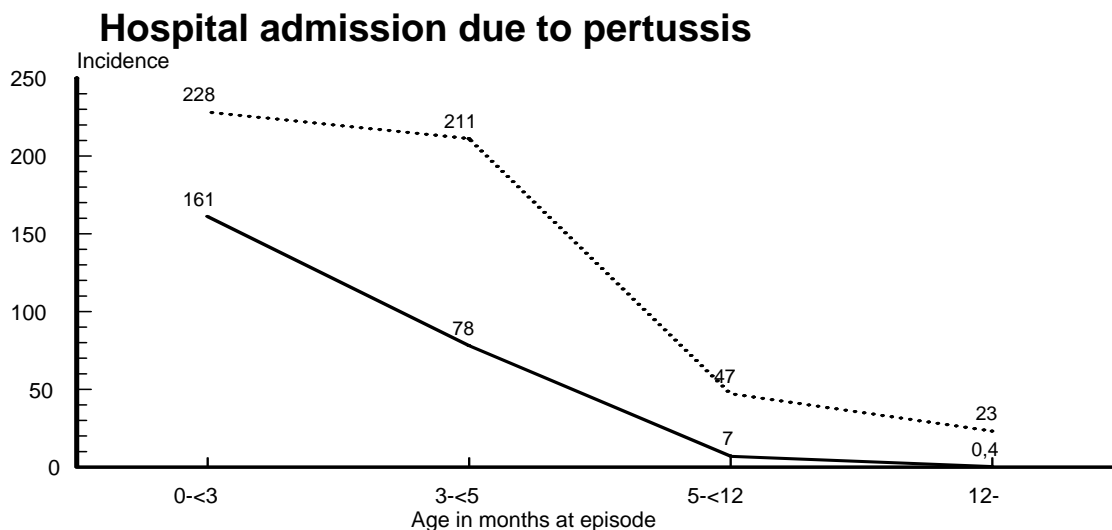


Figure 2 Age specific incidence of hospital admission due to the pertussis disease, below, and age specific incidence of all pertussis per 100 000 years of follow-up regardless of vaccination status for children born from 1996 to September 30, 2006 with a laboratory confirmed *B. pertussis* reported during surveillance from October 1, 1997 until September 30, 2006.

The age specific incidence rate of hospitalisation due to pertussis is highest, 161 per 100 000 years of follow-up, for children 0-<3 months of age and decreases, by increasing age, to less than 0,5 per 100 000 years for children above one year of age at the pertussis episode.

Thus, there is a strong association between age of child at beginning of the pertussis episode and, if a pertussis disease, the risk of also suffering a hospital admission due to the disease. Age specific incidence of hospitalisation was highest among children below three months of age at beginning of the pertussis episode and decreases rapidly thereafter by increasing age, suggesting that circulating pertussis in the country has not decreased to a level that offers sufficient protection for the youngest, nearly always, unimmunised infant.

2.16.2 Duration of hospital stay, age and vaccination status at the pertussis episode

Hospital admissions were also studied in relation to age, duration of hospital stay as well as vaccination status at start of the pertussis episode. Detailed data are given in Table 10.

The rate of hospital admission among **unimmunised** children aged 0-30, 31-60 and 61-90 days at beginning of the pertussis episode was 85%, 72% and 60% respectively, and drops to only 3% for unimmunised children above one year of age. For unimmunised children between 3-<5 and 5-<12 months of age the rate of hospital admission was still 45% respectively 41%. This downward trend by age in hospitalisation rate was also observed for **vaccinated** children, both for children vaccinated with only one dose and for children who have received two or more doses of a pertussis vaccine before the pertussis episode, but the levels for these trends are lower when compared to that for the unvaccinated children.

The overall rate of hospital admission for unimmunised children was 53%. For those children at about 47% of the hospital admissions had a duration longer than one week. This proportion was even higher among the very young. Regardless of age the rate of hospitalisation for children vaccinated with one dose was 29%, with at about 25% of the admissions longer than a week, respectively 3%, with 10% of these admissions longer than a week, for children vaccinated with 2 or more doses before the pertussis episode ($p<0,001$).

However, this “striking” association between rate of hospital admission and vaccination status before the episode was confounded by age. For children ≥ 12 - months of age, the rate of hospital admission was low and “independent” of the vaccination status of the child. In the age interval 5-<12 months the

hospitalisation rates were 41%, 15% and 9% for unimmunised, and for children vaccinated with one and with two or more doses (for most children two doses) respectively. This downward “trend” in rate of hospitalisation by number of doses of a pertussis vaccine before the episode was statistically significant, $p < 0,001$. Further comments to Table 10 follow on next page.

Table 10 Duration of hospital stay due to the pertussis disease among children born from 1996 until September 30, 2006, during surveillance from October 1, 1997 until September 30, 2006, by age at onset of cough and number of doses of a pertussis vaccine prior to the pertussis episode.

Number of doses of a pertussis vaccine prior to the episode			Age of child at beginning of the pertussis episode						Total no. of children	
			0-30 days	31-60 days	61-90 days	91-150 days	151-180 days	181-365 days		366-days
Unimmunised children	Duration of hospital stay	0 days	17	48	63	43	3	14	129	317
		1-7 days	37	62	63	19	4	4	2	191
		8- days	57	60	32	16	2	2	2	171
	Total number of children		111	170	158	78	9	20	133	679
	Total no. and rate of children with a hospital stay		94	122	95	35	6	6	4	362
			85%	72%	60%	45%	67%	30%	3%	53%
Children vaccinated with one dose	Duration of hospital stay	0 days	-	-	1	128	37	14	5	185
		1-7 days	-	-	1	48	7	2	1	59
		8- days	-	-	0	18	0	0	0	18
	Total number of children		-	-	2	194	44	16	6	262
	Total no. and rate of children with a hospital stay		-	-	1	66	7	2	1	77
				50%	34%	16%	13%	17%	29%	
Children vaccinated with two or more doses	Duration of hospital stay	0 days	-	-	-	-	12	97	747	856
		1-7 days	-	-	-	-	1	9	10	20
		8- days	-	-	-	-	0	1	1	2
	Total number of children		-	-	-	-	13	107	758	878
	Total no. and rate of children with a hospital stay		-	-	-	-	1	10	11	22
						8%	9%	1%	3%	
All children regardless of vaccination status	Duration of hospital stay	0 days	17	48	64	171	52	125	881	1 358
		1-7 days	37	62	64	67	12	15	13	270
		8- days	57	60	32	34	2	3	3	191
	Total number of children		111	170	160	272	66	143	897	1 819
	Total no. and rate of children with a hospital stay		94	122	96	101	14	18	16	461
			85%	72%	60%	37%	21%	13%	2%	26%

Finally, comparing **the same age groups** of unimmunised and those children who had been given **one** dose of a pertussis vaccine before the episode we receive the following results:

1. Forty-five percent of unimmunised and 34% of one-dose vaccinated children were hospitalised during a pertussis episode which occurred between **3 and less than 5 months** of age of the child. This difference was not statistically significant, $0,10 < p < 0,20$. The median age at start of episode was 102 days for the 78 unvaccinated and 122 days for the 194 vaccinated.
2. Forty-one percent of unimmunised and 15% of one-dose vaccinated children were hospitalised during a pertussis episode between **5 and less the 12 months** of age. This difference was statistically significant, $p < 0,025$. The median age at start of episode was 240 days for the 29 unvaccinated and 169 days for the 60 vaccinated children.
3. Combining the two age groups we have 44% and 30% of the children with a hospital admission for unimmunised respectively for vaccinated with one dose during a pertussis episode which occurred in the age interval **3-<12 months** of age. This difference was statistically significant, $p < 0,025$. The median age at start of episode was 110 days and 128 days for the 107 unvaccinated respectively for the 254 children vaccinated with one dose before the episode.
4. Given a hospital admission due to a pertussis disease at 3-<12 months of age, 43% and 24% of the admissions have a duration longer than a week for unimmunised and vaccinated children with one dose respectively. This difference was statistically significant, $p < 0,05$.

These results together might indicate that, **if the child has received a pertussis disease**, there might be some protection against “severe” pertussis, expressed as a hospitalisation due to the disease, already after one dose of a pertussis vaccine.

In summary: There was a strong association between age of child at beginning of the pertussis episode and also an association between vaccination status of the child before the episode and the risk of a hospitalisation due to the disease. The same conclusion holds for the duration of the hospital stay and age.

2.17 Complications during the pertussis episode

Data on respiratory complication, neurological complication, dehydration with $> 5\%$ loss of weight or other serious complications during the pertussis episode were registered in the database for 1 818 of the 1 825 children born 1996 until September 30, 2006 with vaccination and follow-up information. A respiratory complication (with apnea, $n=147$, or without apnea, $n=150$) was reported for 297 (16%) and a dehydration for 162 (9%) of the children. Uncommon complications, i.e. neurological and other serious complications, were reported for 10 (0,6%) and 2 (0,1%) of the children respectively.

In addition there were eight deaths among unvaccinated infants and one death in a vaccinated 2 y old child with severe underlying disease. The parents of these children were not contacted within the project and only limited information, obtained from medical personnel, is available. Five infants were full term and 4 were born before gestational week 37. Ages at death among were from 1-3 months (full term) and from 3-6 months (prematures). The one deceased at 6 months fell ill with pertussis at about 3-4 months).

To analyse the association between complications during the pertussis episode and age and/or vaccination status of the child at the episode, children were grouped in two groups; children with at least one noted complication and children without any complication during the pertussis episode. Three hundred and eighty-three children (21,1%) had at least one complication due to the pertussis disease during their pertussis episode and 1 435 (78,9%) had no complication at all.

2.17.1 Any complication and age at the pertussis episode

In all 204 of 441 children (46%), who were below 3 months of age at beginning of the episode, had at least one complication. The corresponding rates for 272 children in age-group 3-<5 months, for 209 children in age-group 5-<12 months and for 896 children aged 12- months at the beginning of the pertussis episode were 21%, 14% and 10% (Table 11).

Age specific incidence rates of any complication due to pertussis per 100,000 years of follow up in the four age groups are shown in Figure 3 (lower curve). For comparison the figure also gives the age specific

incidence rates for all pertussis (upper curve).

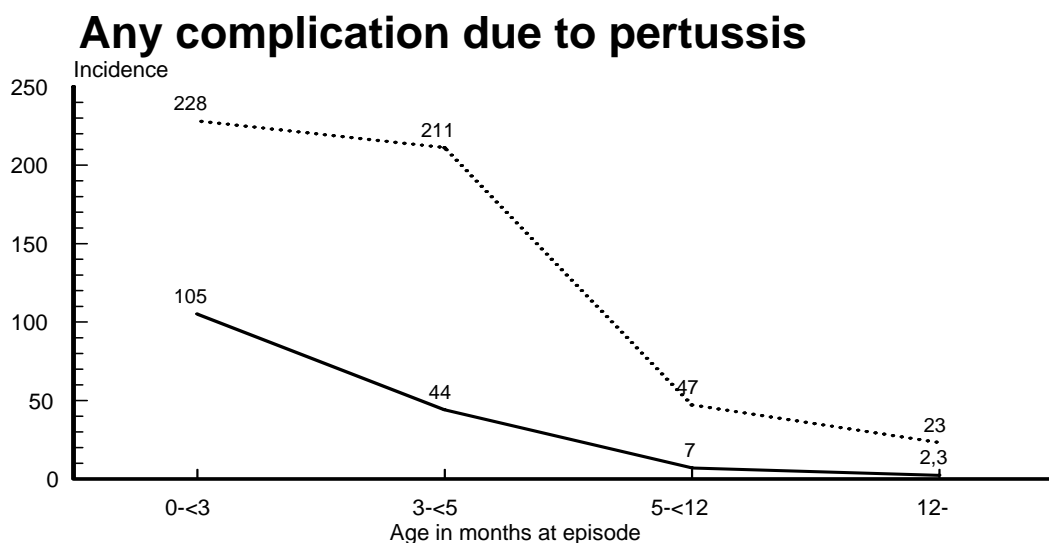


Figure 3 Age specific incidence of any complication due to the pertussis disease, below, and age specific incidence of all pertussis per 100,000 years of follow-up regardless of vaccination status for children born from 1996 to September 30, 2006 with a laboratory confirmed *B. pertussis* reported during surveillance from October 1, 1997 until September 30, 2006.

The age specific incidence rate of any complication due to pertussis is highest, 105 per 100,000 years of follow-up, for children 0-<3 months of age and decreases, by increasing age, to less than 3 per 100,000 years for children above one year of age at the pertussis episode. Thus, there is an association between age of child at beginning of the pertussis episode and, if a pertussis disease, the risk of also suffering at least one complication due to the disease.

2.17.2 Any complication, age and vaccination status at the pertussis episode

The events “any complication” were studied in relation to age as well as vaccination status at beginning of the pertussis episode. Detailed data are given in Table 11.

For **unimmunised** children aged 0-30, 31-60 and 61-90 days at the beginning of the pertussis episode the complication rates was 59%, 45% and 38% respectively, and drops to 11% for children above one year of age. For children between 3-<5 and 5-<12 months of age the rate of any complication was 27% and 38% - for the combined age group it was 30%. Thus, for the unimmunised children there was a strong association between rate of any complication due to the disease and age of child at beginning of the pertussis episode. This downward trend by increasing age is not observed for the vaccinated children, neither for children vaccinated with only one dose nor for children who have received two or more doses of a pertussis vaccine before the pertussis episode. Thus, the downward rate by age, noted regardless of vaccination status of the child in the preceding section, was due to the unimmunised children.

The overall rate of any complication for unimmunised children was 37%. Regardless of age the rate of any complication for children vaccinated with one dose was 18%, and 10% for children vaccinated with 2 or more doses before the pertussis episode ($p < 0.001$). This significant difference was confounded by age. For the oldest children the rate of any complication was at about 10 to 11% both for unimmunised children and children vaccinated with two or more doses. In the age interval 5-<12 months at the episode, the complication rate was 38% for unimmunised children, 13% for vaccinated with one dose and 9% for children vaccinated with 2 or more doses prior to the episode. This downward “trend” in rate by number of doses prior to the pertussis episode was statistically significant, $p < 0.001$.

Comparing **the same age groups** for **unimmunised** children and children vaccinated with **one dose** of a pertussis vaccine before the episode we receive the following results:

1. Twenty-seven percent of the unimmunised and 19% of children vaccinated with one dose before

the episode had at least one complication during a pertussis episode occurring between 3 and less than 5 months of age. This difference was not statistically significant, $0.10 < p < 0.20$.

2. Thirty-eight percent of the unimmunised and 13% of children vaccinated with one dose before the episode had at least one complication during a pertussis episode occurring between 5 and less than 12 months of age. This difference was statistically significant, $p < 0.025$.
3. Combining the two age groups we have 30% and 17% of the children with a complication during the pertussis episode for unimmunised respectively for vaccinated with one dose. This difference was statistically significant, $p < 0,001$.

These results together might indicate that, if the child has received a pertussis disease, there was some protection against “severe” pertussis, expressed as a any complication due to the disease, already after one dose of a pertussis vaccine.

Table 11 Any complication due to the pertussis disease among children born from 1996 until September 30, 2006, during surveillance from October 1, 1997 until September 30, 2006, by age at onset of cough and number of doses of a pertussis vaccine prior to the pertussis episode.

Number of doses of a pertussis vaccine prior to the episode			Age of child at beginning of the pertussis episode						Total no. of children	
			0-30 days	31-60 days	61-90 days	91-150 days	151-180 days	181-365 days		366-days
Unimmunised children	Any complication	No	45	94	98	57	6	12	118	430
		Yes	66	76	60	21	3	8	14	248
	Total number of children		111	170	158	78	9	20	132	678
	Rate of children with any complication		59%	45%	38%	27%	33%	40%	11%	37%
Children vaccinated with one dose	Any complication	No	-	-	0	158	38	14	4	214
		Yes	-	-	2	36	6	2	2	48
	Total number of children		-	-	2	194	44	16	6	262
	Rate of children with any complication		-	-	100%	19%	14%	13%	33%	18%
Children vaccinated with two or more doses	Any complication	No	-	-	-	-	13	96	682	791
		Yes	-	-	-	-	0	11	76	87
	Total number of children		-	-	-	-	13	107	758	878
	Rate of children with any complication		-	-	-	-	0%	10%	10%	10%
All children regardless of vaccination status	Any complication	No	45	94	98	215	57	122	804	1 435
		Yes	66	76	62	57	9	21	92	383
	Total number of children		111	170	160	272	66	143	896	1 818
	Rate of children with any complication		59%	45%	39%	21%	14%	15%	10%	21%

In summary: There was a strong association between age at the beginning of the pertussis episode and the risk of a complication due to the disease for an unimmunised child. There was also an association between vaccination status before the episode and the risk of any complication.

Finally (and for obvious reasons), there was also a strong association between any complication and a hospital stay during the pertussis episode. Seventy-two percent, 275 of 383, of children with at least one complication also had a hospital admission due to the disease during the episode. For 1 435 children without any complication the hospitalisation rate was 13% ($p < 0.001$). For children with any complication at about 52% of the hospital admissions had a duration 8 days or longer. For children without any complication 26% of the hospital admissions were longer than 8 days ($p < 0.001$).

2.18 Spasmodic cough during the pertussis episode

Data on cough and spasmodic cough were available for all 1 825 children born 1996 until September 2006. All children but 3 were coughing during their pertussis episode. One thousand six hundred and thirty-one (89,4%) of the children had spasmodic cough during the pertussis episode and 194 (10,6%) reported no spasmodic cough. Spasmodic cough for 21 or more days during the pertussis episode was reported for 82,7% of the children.

2.18.1 Spasmodic cough for 21 or more days and age at the pertussis episode

In all 393 of 442 infants (89%), who were below 3 months of age at start of the pertussis episode, had spasmodic cough for 21 days or longer. The corresponding rates for 272 children in age-group 3-<5 months, for 210 children in age-group 5-<12 months and for 901 children aged 12- months at the beginning of the pertussis episode were 86%, 78% and 80% (Table 12).

Age specific incidence rates of spasmodic cough for 21 days or longer due to pertussis per 100,000 years of follow up in the four age groups are shown in Figure 4 (lower curve), and age specific incidence rates for all pertussis (upper curve).

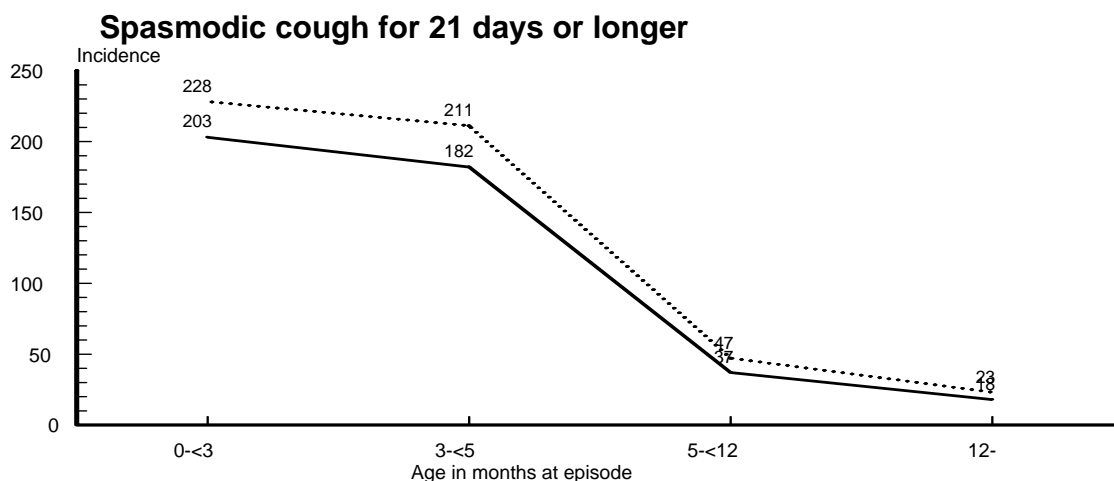


Figure 4 Age specific incidence of spasmodic cough for 21 or more days due to the pertussis disease, below, and age specific incidence of all pertussis per 100,000 years of follow-up regardless of vaccination status for children born from 1996 to September 30, 2006 with a laboratory confirmed *B. pertussis* reported from October 1, 1997 until September 30, 2006.

The age specific incidence rate of pertussis with 21 or more days of spasmodic cough was highest, 203 per 100,000 years of follow-up, for children 0 to <3 months of age and decreases to 18 per 100,000 years for children above one year of age at the pertussis episode. Thus, there was a weak association between age of child at beginning of the pertussis episode and, if a pertussis disease, the risk of also suffering a long duration of spasmodic cough during the pertussis disease. The risk for a long duration of spasmodic cough was even high for the elderly children.

2.18.2 Duration of spasmodic cough, age and vaccination status at the pertussis episode

Duration of spasmodic cough for 21 days or longer was also studied in relation to age as well as vaccination status at start of the pertussis episode. Detailed data are given in Table 12.

Table 12 Duration of spasmodic cough due to the pertussis disease among children born from 1996 until September 30, 2006, during surveillance from October 1, 1997 until September 30, 2006, by age at onset of cough and number of doses of a pertussis vaccine prior to the pertussis episode.

Number of doses of a pertussis vaccine prior to the episode			Age of child at beginning of the pertussis episode						Total no. of children	
			0-30 days	31-60 days	61-90 days	91-150 days	151-180 days	181-365 days		366-days
Unimmunised children	Duration of spasmodic cough	0 days	4	7	9	2	0	0	4	26
		1-20 days	4	18	7	7	0	2	2	40
		21- days	103	146	142	69	9	18	127	614
	Total number of children		111	171	158	78	9	20	133	680
	<i>Rate of children with spasmodic cough for 21 days or longer</i>		<i>93%</i>	<i>85%</i>	<i>90%</i>	<i>88%</i>	<i>100%</i>	<i>90%</i>	<i>95%</i>	<i>89%</i>
Children vaccinated with one dose	Duration of spasmodic cough	0 days	-	-	0	10	4	0	0	14
		1-20 days	-	-	0	18	2	2	0	22
		21- days	-	-	2	166	38	14	6	226
	Total number of children		-	-	2	194	44	16	6	262
	<i>Rate of children with spasmodic cough for 21 days or longer</i>		-	-	<i>100%</i>	<i>86%</i>	<i>86%</i>	<i>88%</i>	<i>100%</i>	<i>86%</i>
Children vaccinated with two or more doses	Duration of spasmodic cough	0 days	-	-	-	-	1	18	135	154
		1-20 days	-	-	-	-	0	17	42	59
		21- days	-	-	-	-	12	73	585	670
	Total number of children		-	-	-	-	13	108	762	883
	<i>Rate of children with spasmodic cough for 21 days or longer</i>		-	-	-	-	<i>92%</i>	<i>68%</i>	<i>77%</i>	<i>76%</i>
All children regardless of vaccination status	Duration of spasmodic cough	0 days	4	7	9	12	5	18	139	194
		1-20 days	4	18	7	25	2	21	44	121
		21- days	103	146	144	235	59	105	718	1 510
	Total number of children		111	171	160	272	66	144	901	1 825
	<i>Rate of children with spasmodic cough for 21 days or longer</i>		<i>93%</i>	<i>85%</i>	<i>90%</i>	<i>86%</i>	<i>89%</i>	<i>73%</i>	<i>80%</i>	<i>83%</i>

The rate of episodes with 21 or more days of spasmodic cough among unimmunised children varied slightly around 90% for the different age groups. The overall rate for unimmunised children was 89%.

Neither were there any downward trends by age in this rate for the vaccinated children. Regardless of age the rate of children with 21 or more days of spasmodic cough among vaccinated with one dose was 86% and among those vaccinated with 2 or more doses 76%. This downward “trend” in rate of a long duration of spasmodic cough by number of doses of a pertussis vaccine before the episode was statistically significant, $p < 0.001$.

In summary: There was an association between vaccination status of the child before the episode and the risk of a duration of spasmodic cough for 21 days or longer during the disease.

2.19 Duration of cough, spasmodic cough and antibiotic treatment

As stated in section 2.18, data on cough and spasmodic cough were available for all 1 825 children born from 1996 until September 30, 2006, whereof 924 were infants. All children but 3 were coughing during their pertussis episode, including 2 infants.

Applying the EU and current WHO clinical case definition of pertussis with 2 weeks of more of coughing (any type) in conjunction with positive laboratory sample, in all 1 782/1 825 (97,6%) would fulfil this definition.

Among the 43 cases that would not fulfil the EU or WHO definitions, 21 were infants and 22 children aged 1-6 years. All but two of those infants had received erythromycin or trimetoprim-sulfametoxazol, whereas fourteen of the 22 children aged 1-6 years were treated with antibiotics. Seven of those infants were unvaccinated, 3 had received one dose and 11 had received two doses. One child aged one year had received only two doses and the remaining children aged 1-6 years had received three doses.

2.19.1 Duration of cough, spasmodic cough and antibiotic treatment

There was information on antibiotic treatment, or not, including date at start of treatment for 1 817/1 825 children, including 921/924 infants. No treatment at all was reported for 623 children, whereof 140 were infants. Before further statistical analysis 24 treated cases with a short duration of treatment, 1 – 6 days with Erymax, were excluded. Most often the described treatment period was shortened due to diarrhoea etc.

In Table 13 result for; children aged 0-90 days at onset of the episode, without any pertussis vaccination prior to onset, 433 children; children aged 91-150 days at onset of the episode, with one dose of a pertussis vaccine prior to onset, 190 children; children aged 151-365 days at onset of the episode, with two doses of a pertussis vaccine prior to onset, 116 children; and for children one year or older at onset of the episode, with three or more doses prior to onset, 729 children, in all 1 468 children was reported.

An early start of the antibiotic treatment, within the first week (≤ 6 days) after onset of cough during the episode was, in all age groups, associated with a shorter duration of cough compared to both “no antibiotic treatment” and a late start, later than two weeks after onset. The same was true for spasmodic cough.

Children below one year of age were in general treated with antibiotics, 631 (85%) of 739 children. The treatment rates in the age-groups < 3 months, 3- < 5 months and 5- < 12 months were respectively 92% (399/433), 79% (151/190) and 70% (81/116). Among those aged one year or more at onset of cough during the episode, 344 (47%) of 729 children were treated.

Table 13 Duration of cough and spasmodic cough due to the pertussis disease among infants born from 1996 until September 30, 2006 under surveillance from October 1, 1997 until September 30, 2006, by age at onset of cough and day for start of antibiotic treatment in relation to onset of pertussis episode.

Age at beginning of episode	Day after onset of cough for start of antibiotic treatment with Erytromycin etc. during the pertussis episode	Number of children	Duration, days of cough	Duration, days of spasmodic cough
			Median	Median
0-90 days	No treatment	34	48	33.5
	<i>Early start, latest at day 6</i>	<i>51</i>	<i>35</i>	<i>31</i>
	Start day 7 until day 13	147	44	37
	Late start, day 14 or later	201	49	38
	Total	433	47	37
91-150 days	No treatment	39	47	35
	<i>Early start, latest at day 6</i>	<i>15</i>	<i>40</i>	<i>25</i>
	Start day 7 until day 13	58	39	32.5
	Late start, day 14 or later	78	46	33.5
	Total	190	44	33.5
151-365 days	No treatment	35	47	36
	<i>Early start, latest at day 6</i>	<i>18</i>	<i>31</i>	<i>0</i>
	Start day 7 until day 13	26	34.5	24.5
	Late start, day 14 or later	37	37	31
	Total	116	39	30.5
1 year or older	No treatment	385	46	33
	<i>Early start, latest at day 6</i>	<i>39</i>	<i>29</i>	<i>19</i>
	Start day 7 until day 13	99	42	33
	Late start, day 14 or later	206	52	38
	Total	729	46	34
All ages	No treatment	493	46	34
	<i>Early start, latest at day 6</i>	<i>123</i>	<i>33</i>	<i>26</i>
	Start day 7 until day 13	330	43	34.5
	Late start, day 14 or later	522	48.5	37
	Total	1 468	46	34.5

3 Overall rates of laboratory confirmed pertussis in Sweden

3.1 Incidence changes over time

Since the introduction of acellular pertussis vaccination at 3, 5 and 12 months of age during 1996, there has been a decline in laboratory confirmed pertussis incidence in the Swedish population, Figure 5. The incidence in 2001- 2006, 5-10 years after the introduction of DTPa-containing vaccines, was at a level of the late 60:s and early 70:s, when the Swedish whole-cell vaccine program still was effective. The decline in incidence after 1996 seems to be more rapid than when DTPw was introduced during the 1950:s. One explanation might be that vaccination coverage in those days was only gradually raising, over decades, reaching 90 percent of the infants, whereas the coverage for DT in the 1990s already was more than 98% and the reintroduction of pertussis vaccination only meant a switch from DT to DTPa, Figure 9a.

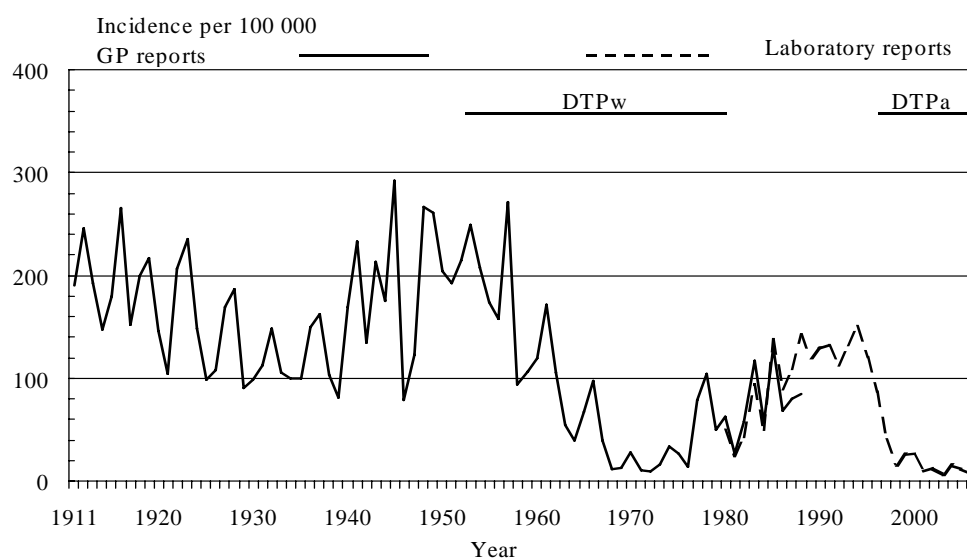


Figure 5 Pertussis incidence in Sweden. Sources: reports from general practitioners (GP) and laboratories

Figure A, Section 1, gives a close-up on the monthly reporting of culture or PRC-confirmed cases during the last 18 years. The overall incidence in the peak epidemic year 1994 was 150/100.000 population years, and dropped steadily to 17/ 100.000 in 1998. In the winter of 1999 and 2000 there was an aborted peak to about 25 per 100,000, but thereafter there has been no major national peaks. The overall incidence is now 7-26 per 100,000 population years, Table 15A.

3.2 Changes in age-specific incidences

The overall age specific incidences in different age groups during the years before and after 1996 is illustrated in Figure 6, with incidences in age-groups from 10 years and above enlarged in figure 7B. Note that the mean incidences in the age groups 0-9 years include both vaccinated and unvaccinated children during the years 1998-2006. For details about vaccinated cohorts, see Figure B and Table 15A, giving the age-specific incidences during the years 1986-95 and 1998-2006, with the corresponding numbers of culture or PCR-confirmed pertussis in each age-group in Table 15B.

It is obvious that the vaccinated birth cohorts born 1996 or later had a much lower age specific incidence of laboratory confirmed cases of *B. pertussis* in pre-school ages than had the corresponding age-groups before implementation of the Pa vaccination in infancy in 1996, and also in early school age. The age specific incidence for pre-school children dropped from >1000 per 100,000 to approx. 100/100,000 in 1998-2000, to 50/100,000 in 2001 and further to approximately 20/100,000 in 2003. The rate has also dropped to below 100/100,000 among the mainly vaccinated children during the first years in school. In unvaccinated 10-14 year-olds, however, the age-specific incidence remains about the same before and after introduction of acellular pertussis vaccine, whereas the incidence among young adults is reduced.

Before (blue) and after (red) introduction of DTPa at 3, 5 and 12 months

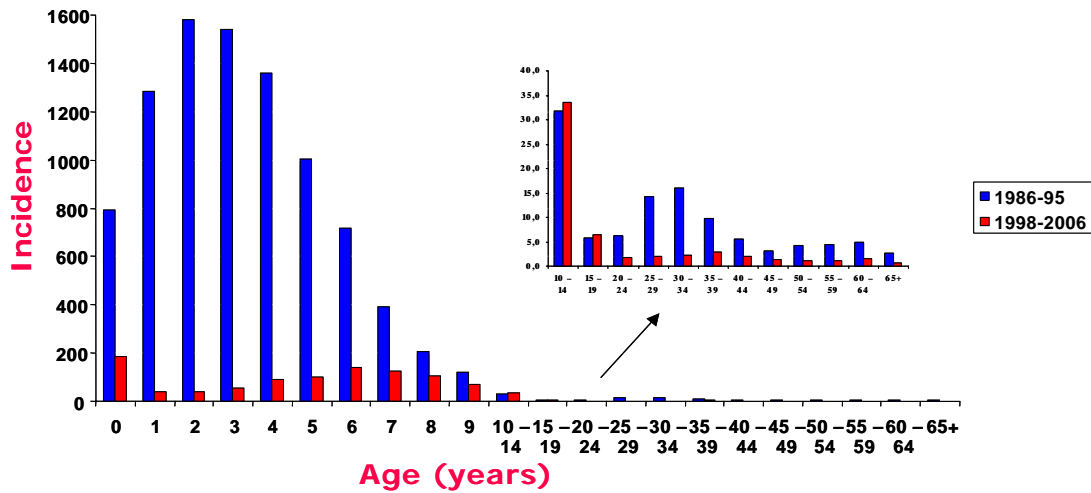


Figure 6 Mean incidence in defined age groups during 11 calendar years (1986-95) before and during 9 years after (1998-2006) introduction of DTPa in 1996. Data from the year 1997 are excluded because of change in reporting system during that year. Enlarged curves for the age groups 10 years and above are shown in the insertion.

Also the reported incidence in unvaccinated age-groups is reduced after implementation, but less so in infancy. In fact, the age specific incidence below one year of age, for unvaccinated and not fully vaccinated infants, is until 2005 above 100/100,000 person years with a peak of 289/100,000 in 2005 (Table 15A). In 2006, the age-specific incidence in infancy for the first time was below 100/100,000. The number of culture confirmed pertussis per month of age in infancy before and after 1996 is illustrated in Figure 7.

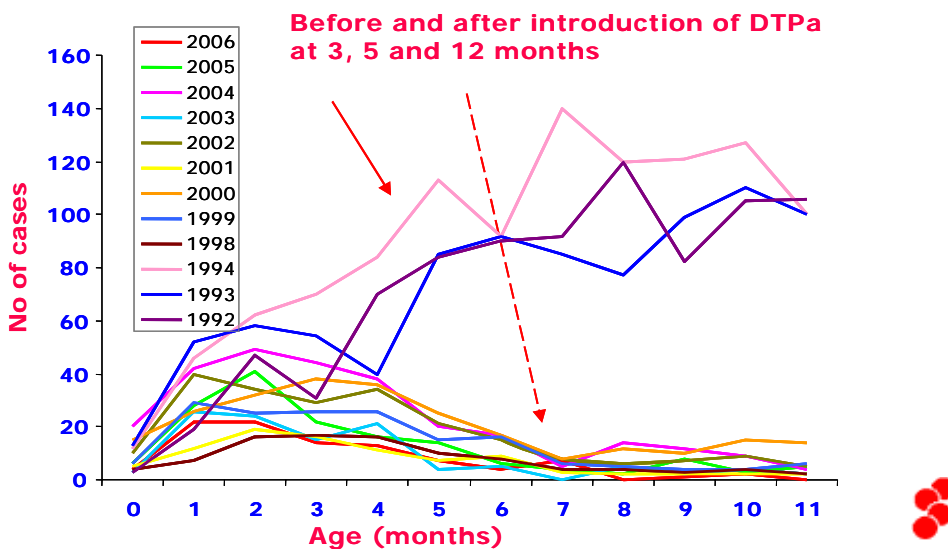


Figure 7 The reported number of culture- or PCR-verified pertussis cases in infants during 3 calendar years (1992-94) before and during 9 years after (1998-2006) introduction of DTPa in 1996.

3.3 Regional differences in incidence over time

At subnational (county) level there are undulations in the incidence, with variations in time between different areas. Figure 8 illustrates the geographic variations in reported pertussis (clinical and laboratory reported) cases during the years 1997-2006.

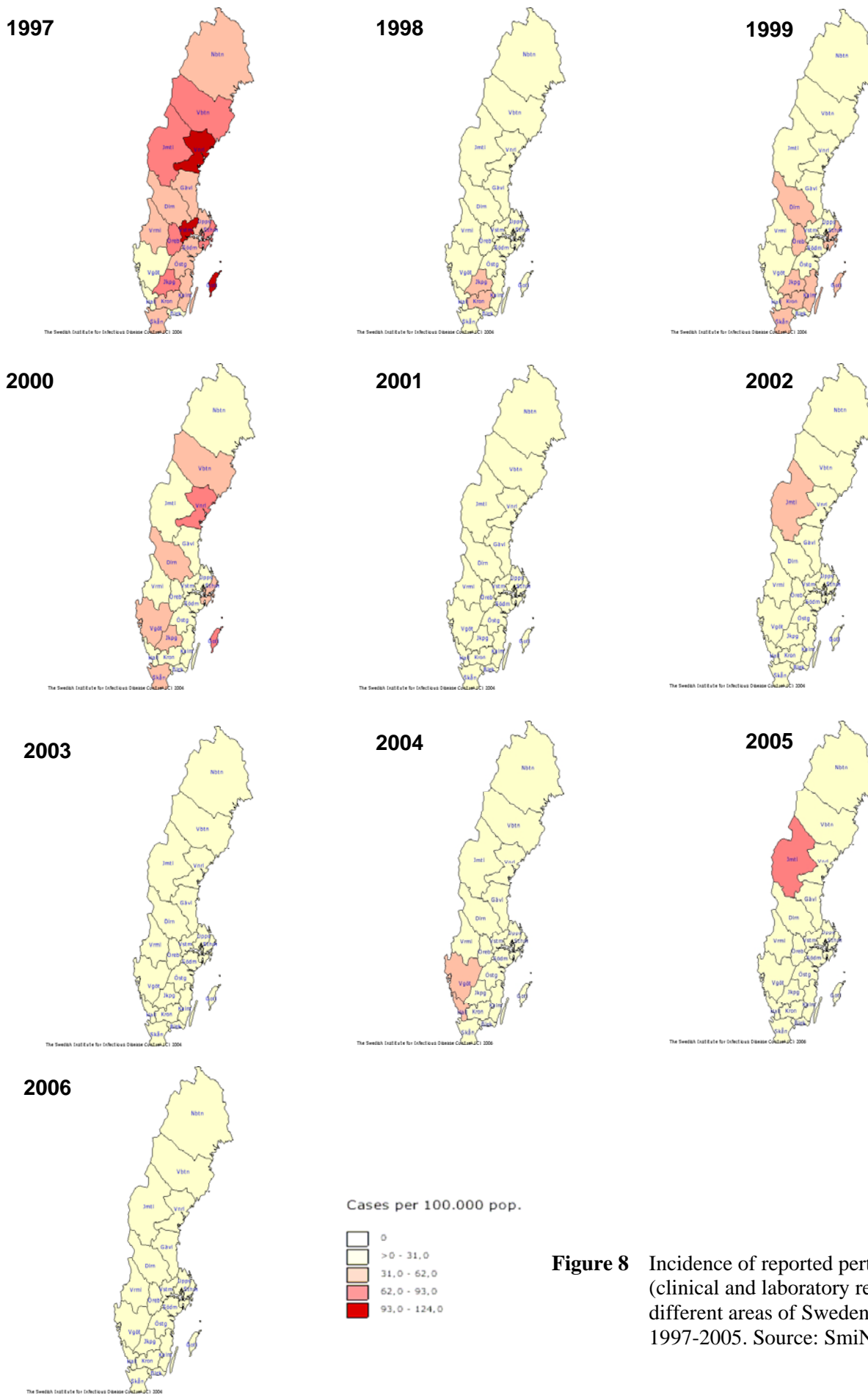


Figure 8 Incidence of reported pertussis (clinical and laboratory reports) in different areas of Sweden from 1997-2005. Source: SmiNet

3.4 Vaccination coverage and timing of doses

The large scale trials in children born 1991-1994 preceded the reintroduction of DTPa vaccines in 1996 and prepared the acceptance of pertussis vaccination.

The vaccination coverage rapidly reached more than 98.5%, Figure 9, and has remained at this level since then. With one exception the coverage at county level has been 97% or above in all counties and all cohorts born from 1996. The exception was a county in the north, where the overall coverage for the year 1996 was 93% because of a few months of delay in start of the DTPa program.

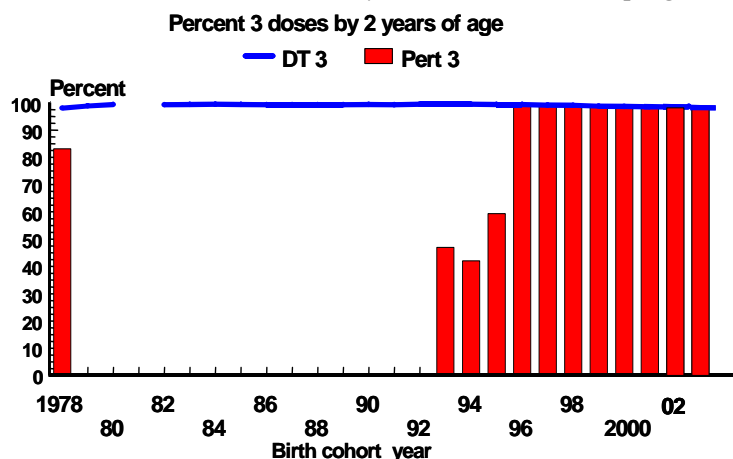


Figure 9 Vaccine coverage 1978-2003 for 3 doses DT P (source SMI Annual Reports).

The Swedish Child Health Care system evolved during the first half of last century, with at or above 99% of all children registered. The system is area-based and the nurses have statutory rights to handle the general part of the national vaccination program within their area. The consistency in adherence to the recommended schedule is illustrated in Figure 10, demonstrating the deviation from schedule day (Day 0) for the first three doses of Pa vaccination in all children followed within the enhanced surveillance.

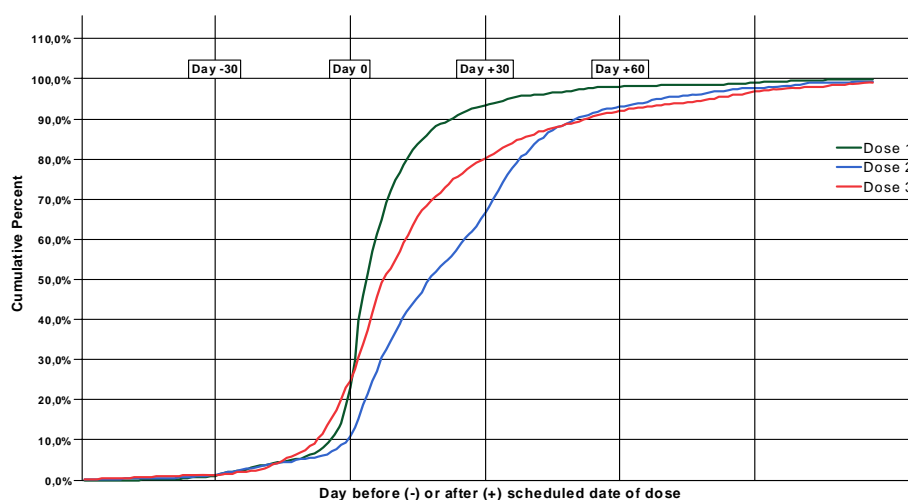


Figure 10 Cumulative proportion of children vaccinated in relation to scheduled day (Day 0) for the doses at 90 days, 150 days and 365 days, in children born from 1996 and until September 30, 2006, with a pertussis episode between October 1, 1997 and September 30, 2006.

The consistency over time is further illustrated in Table 14, comparing the median ages (in days) at dose 1-3 for children followed within the enhanced surveillance with the corresponding ages during the nationwide Trial II in early 1990:s.

Table 14 Median age at dose 1-3 in Trial II (1993-94) and during the 1997-2006 enhanced surveillance period. The scheduled ages are 3-5-12 months, corresponding to 90, 150 and 365 days.

Mean ages at vaccination (days)	Dose 1 (90 days)	Dose2 (150 days)	Dose 3 (365 days)
Trial 2 (n = 72,698 infants included in 3-5-12 mo schedule)	100	174	386
Surveillance project from 1997-2006 (children, exc. Gothenburg, with vaccination data)	95	170	372

3.5 Catch-up and booster vaccinations

Infants born during the latter part of 1995 were vaccinated in most parts of the country, because the start of their vaccination program was delayed until the Pa vaccines were licensed in January 1996. At age 2 years, the overall 3-dose coverage for the 1995 cohort was 60%.

Free catch-up vaccinations to more than 65,000 children born in the 1990:s were offered in the Gothenburg area from 1997 to 1999. In all, about 60% of children aged 1-10 years were vaccinated with three doses of Pa-containing vaccine [6]. Toddlers and school children were vaccinated to some degree also in the rest of the country, but at the expense of the parents. Monovalent vaccines were withdrawn from the Swedish market in spring 2000. Within studies, minor groups of children were boosted during the 1990:s, and most of the 10,194 children included in the 2-4-6 mo schedule in Trial II [3]. The national vaccination calendar will be changed from 2007 (cohorts born from 2002) to include a 4th dose of DT and Pa already at 4-6 years and furthermore to include a 5th dose at 14-16 years. Children born 1995-2006 receive a catch-up vaccination at 10 years of age since autumn 2005, when a fourth dose of DTPa was recommended at 10 years of age.

3.6 Case ascertainment

Until 1997 there was no clinical reporting but a voluntary laboratory reporting system. During this year the Communicable Disease Act was changed to include pertussis, and since then cases may be reported either by clinicians, by microbiological laboratories or both ways. Figure 11 illustrates the number of pertussis cases reported on clinical basis only, both ways and on laboratory basis only. From 1998 about 90% of the pertussis cases are either reported both ways or on laboratory basis only, which means that the enhanced surveillance is based on 90% of the reported pertussis cases.

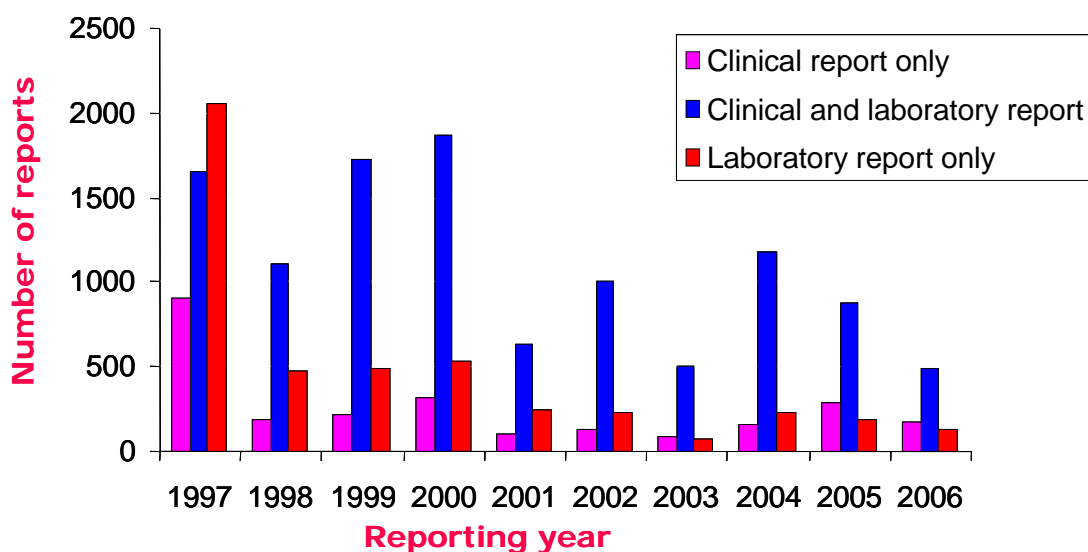


Figure 11 The number of reported pertussis cases 1997-2006; clinical reports only, combined clinical and laboratory reports, and laboratory reports only.

The laboratory reporting from the Swedish microbiological laboratories is based on culture, PCR or serology, Figure 12. Cases reported on the basis of culture or PCR are followed within the enhanced surveillance. Confirmation of *B. pertussis* by culture is slowly becoming replaced by PCR, although many laboratories have continued to perform cultures on PCR-positive samples. In 1997 the proportion of PCR-verified cases was at about 5% or less. Since 2002 more laboratories use PCR and in 2003 around 20% of all laboratory reports were based on PCR. During the last two year a further increase in the use of PCR has occurred and nowadays at about 50% of the pertussis reports are based on PCR. Only few cases are reported on the basis of serologic results, with a slight increase during 2005-2006, Figure 12.

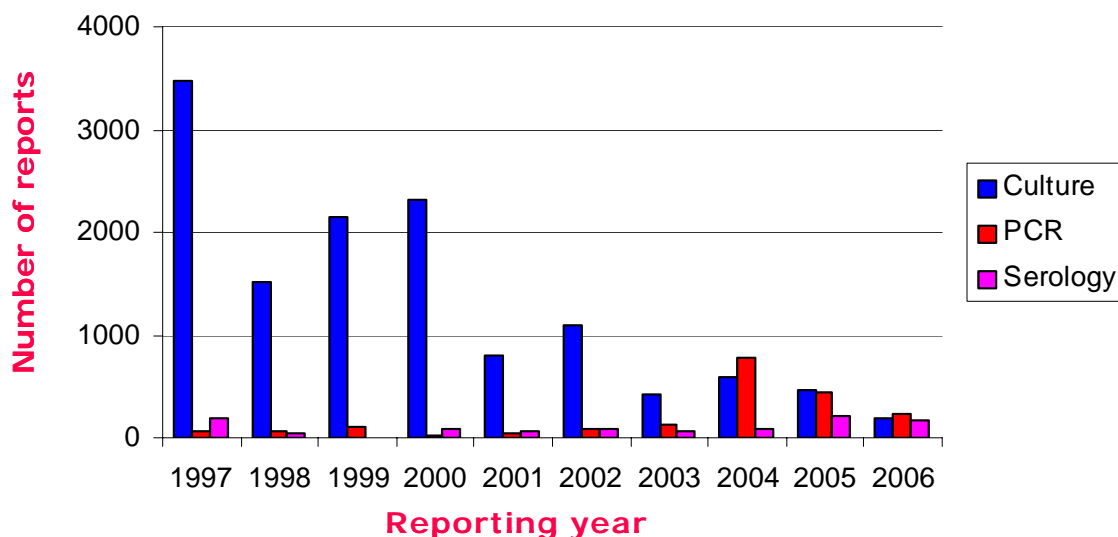


Fig 12 Laboratory methods used for verification of cases reported according to the Communicable Disease Act 1997-2006.

3.7 Potential differences in awareness

There are no studies addressing the awareness of pertussis among the reporting physicians, but there are examples of high reporting rates have with a timely association to media attention or to medical information campaigns drawing attention to pertussis. In one region there was a increased reporting after an illustration of an infant case on the cover of the local newspaper (followed by media attention also at national level), Figure 13.

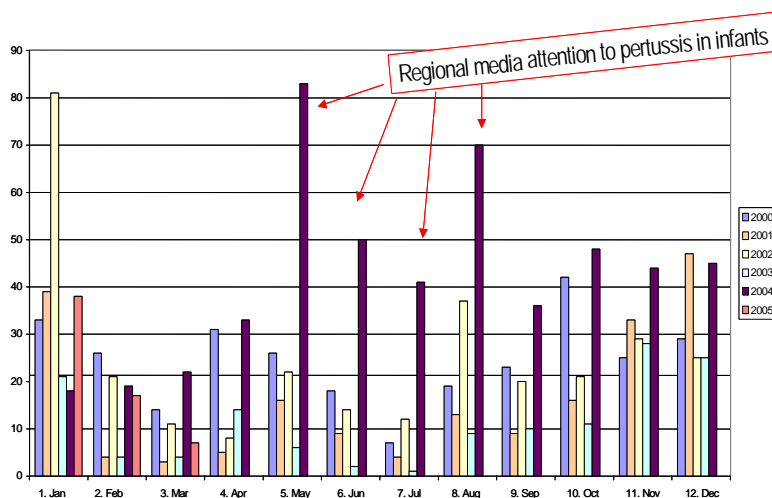


Fig 13 Number of pertussis reports in a county of during the years 2000-04, with arrows indicating local attention to pertussis in media

Table 15A Overall and age-specific incidence of laboratory-reported pertussis per 100,000 from 1986 to 1995 before introduction, and 1998 to 2006 after introduction of acellular pertussis vaccine in Sweden. (n.a. not available: age-specific incidences cannot be reported for 1996 and 1997 due to changes in the Communicable Disease Act, which temporarily prohibited laboratory reports of pertussis with personal identifiers).

Age, years	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006
All ages	89	108	142	120	128	132	113	132	150	121	86	40	17	25	26	11	12,9	6,7	15,5	12	6,8
0	779,5	773,3	1084,4	843,1	633,7	902,3	682,3	777,6	688,9	797,9	n.a.	n.a.	107	188,1	247,9	125,1	234,5	229,8	288,5	153	97,2
1	1117,8	1288,4	1834,9	1428	1052	1381,7	1154	1317,4	1465,9	807,4	n.a.	n.a.	32,3	37,7	84,9	31,3	45,4	20,7	53,7	26,7	15,6
2	1244,3	1496,9	1953,7	1676,3	1223,5	1695,3	1441,7	1571,4	1959,3	1468,5	n.a.	n.a.	77,4	35,4	39,7	41,9	55,3	20,4	53,5	15,1	13,7
3	1201	1458,2	1953,6	1603,2	1157,4	1712,2	1384,1	1625,8	1751,2	1500,4	n.a.	n.a.	181,7	102,4	55,5	33,1	38,8	8,7	27,9	24	8,0
4	1042,7	1308	1597,4	1446,6	1028,6	1444,5	1188,5	1436,2	1633,4	1387,7	n.a.	n.a.	135,8	274,9	154,1	29	48,2	22,1	49	23,5	10,4
5	804,8	975,3	1239,2	1003,2	739,5	1055	913,9	1081,5	1165,5	1036,3	n.a.	n.a.	195,9	162,5	254,7	40,6	54,1	15,3	79,8	29,3	22,4
6	624,2	753,5	854	709,7	531,2	782,1	662,2	705,6	829,7	708,8	n.a.	n.a.	228,5	324,9	219,4	102,3	83,5	28,1	85,4	56,7	22,6
7	228,7	462	498,3	396,6	297,3	419,1	340,4	433	451,8	389,6	n.a.	n.a.	137,5	254,5	235,7	80,2	135,3	36	88,1	62,5	29,3
8	130	201,9	296,9	237,4	157,5	194,6	182,3	199,5	246,7	211,5	n.a.	n.a.	84,9	207,4	219,6	71,1	71,2	42,8	103,4	50,2	32,2
9	123,1	88,9	109,5	152,2	87,4	119,5	109,8	134,2	123,9	138,6	n.a.	n.a.	46,3	95,2	153,4	54,3	79	28,9	77,3	76,5	25,0
10 – 14	29,6	26,1	33,8	30,3	25,6	34,7	31,3	31,6	38,4	36	n.a.	n.a.	8,8	38	48,5	19,3	33,3	26	50,4	53,1	23,0
15 – 19	4,2	5,8	9,6	5,5	3,7	6,6	4,2	5,3	6,8	6,1	n.a.	n.a.	3,6	5,2	8,1	1,4	4,9	5,1	8,7	11,6	7,9
20 – 24	6,9	7,2	7,7	7,3	4,1	6,7	4,4	5,5	8,2	5,1	n.a.	n.a.	1,1	1,3	2,7	0,6	1,2	1,7	2,3	2,5	2,1
25 – 29	14,3	15,6	19,5	12,1	12,1	15	11,7	14,2	16,8	11,8	n.a.	n.a.	0,8	2,7	2	0,5	0,9	2,2	3,4	2,8	3,1
30 – 34	15,1	15,4	17,2	13	13,9	19,1	15,8	16,8	23	11,8	n.a.	n.a.	1,4	2,5	3,9	1,4	1,6	1	3,9	3,4	1,8
35 – 39	6,8	9,6	11,8	10,9	8	9,2	6,8	10,1	15	9,7	n.a.	n.a.	1,5	3	3,9	0,6	2,4	1,5	5,3	4,7	4,1
40 – 44	3,2	4,9	5,2	6,1	5,1	6	5,1	5,9	8,5	4,7	n.a.	n.a.	0,7	1,4	1,9	1,4	1,4	1,3	2,5	5,3	2,3
45 – 49	1,5	2,2	3,6	3,8	4	3,2	2,3	3,4	3,7	4	n.a.	n.a.	0,3	1,3	1,2	0,2	1,4	0,5	1,4	3,4	2,1
50 – 54	3,3	3,7	4,6	3,3	5,2	5,5	4,1	5,4	3,6	3,9	n.a.	n.a.	0,9	0,3	0,6	0,3	1,2	0,5	1,2	2,2	2,1
55 – 59	3	4,6	3,3	3,1	3,6	5,3	4,3	5,6	6,1	4,9	n.a.	n.a.	1,2	1,1	1,4	0,3	0,6	1,2	0,8	0,8	2,9
60 – 64	1,9	6,2	5,2	2,3	3,5	7,6	4,6	4,4	5,7	7,4	n.a.	n.a.	0,2	1,9	2,1	0,5	1,7	0,4	1	3,1	2,9
65+	1,1	1,7	2,3	2	2,6	2,6	2,9	2,4	4,7	4	n.a.	n.a.	0	0,6	0,8	0,1	0,5	0,9	0,8	1,6	1,5

Note! All age specific incidence figures in table 15A concern children from two yearly birth cohorts: Age specific incidence figures in black bold (upper right corner of table) concern children born 1996 or later, i.e. only children born after introduction of Pa vaccine in Sweden. Figures in red represent children born 1995 (latter part) or 1996 (early part), i.e. those born at time of introduction of Pa vaccines. Most of these were vaccinated. All other incidence figures concern children from birth cohorts born before introduction of Pa vaccine in Sweden. For vaccine coverage per birth cohort, see figure 9.

Table 15B Number of laboratory reported cases of pertussis in defined age-groups from 1986 to 1995 before introduction, and 1998 to 2006 after introduction of acellular pertussis vaccine in Sweden. (n.a. not available for 1996 and 1997 due to changes in the Communicable Disease Act, which temporarily prohibited laboratory reports of pertussis with personal identifiers).

Age, years	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006
	7449	9069	11980	10191	10955	11375	9795	11508	13171	10680	7591	3538	1505	2215	2307	979	1151	600	1394	1084	617
0	779	797	1173	960	760	1116	839	933	790	858	n.a.	n.a.	96	167	222	114	220	224	289	155	101
1	1081	1299	1908	1558	1208	1668	1435	1627	1763	928	n.a.	n.a.	30	34	76	28	42	20	53	27	16
2	1166	1455	1980	1754	1344	1957	1745	1959	2430	1770	n.a.	n.a.	77	33	36	38	50	19	51	15	14
3	1120	1372	1908	1636	1220	1891	1604	1976	2200	1871	n.a.	n.a.	196	102	52	30	35	8	26	23	8
4	984	1225	1511	1423	1058	1532	1318	1672	2002	1753	n.a.	n.a.	156	297	154	27	44	20	45	22	10
5	776	924	1167	956	733	1091	973	1205	1369	1278	n.a.	n.a.	236	187	276	41	51	14	73	27	21
6	608	729	813	673	510	780	688	755	933	838	n.a.	n.a.	285	392	253	111	84	27	79	52	21
7	218	451	484	380	284	405	341	452	488	441	n.a.	n.a.	174	318	285	93	148	36	84	58	27
8	124	193	291	232	152	187	177	201	260	230	n.a.	n.a.	105	263	275	86	83	47	105	48	30
9	121	85	105	150	86	116	106	131	126	147	n.a.	n.a.	55	118	195	68	96	34	85	78	24
10 – 14	162	139	175	153	127	171	154	156	191	180	n.a.	n.a.	47	210	279	115	205	162	313	322	134
15 – 19	24	33	54	31	21	37	23	28	35	31	n.a.	n.a.	18	26	41	7	26	28	49	68	48
20 – 24	41	44	48	45	25	40	26	32	48	30	n.a.	n.a.	6	7	14	3	6	9	12	13	11
25 – 29	80	87	110	70	73	94	75	92	108	74	n.a.	n.a.	5	16	12	3	5	12	19	15	17
30 – 34	87	89	99	75	80	110	91	98	138	73	n.a.	n.a.	9	16	25	9	10	6	24	21	11
35 – 39	44	60	72	65	47	54	40	59	88	57	n.a.	n.a.	9	18	24	4	16	10	35	30	26
40 – 44	20	32	35	41	34	39	32	36	51	28	n.a.	n.a.	4	8	11	8	8	8	15	33	15
45 – 49	7	11	19	21	24	20	15	23	25	26	n.a.	n.a.	2	8	7	1	8	3	8	20	12
50 – 54	14	16	20	15	24	26	20	28	20	23	n.a.	n.a.	6	2	4	2	7	3	7	13	12
55 – 59	13	20	14	13	15	22	18	24	27	22	n.a.	n.a.	6	6	8	2	4	8	5	5	18
60 – 64	9	28	23	10	15	32	19	18	23	30	n.a.	n.a.	1	8	9	2	8	2	5	17	17
65+	16	25	34	30	40	40	44	37	72	62	n.a.	n.a.	0	9	12	2	8	14	12	25	24

Note! All age specific incidence figures in table 15B concern children from two yearly birth cohorts: Age specific incidence figures in black bold (upper right corner of table) concern children born 1996 or later, i.e. only children born after introduction of Pa vaccine in Sweden. Figures in red represent children born 1995 (latter part) or 1996 (early part), i.e. those born at time of introduction of Pa vaccines. Most of these were vaccinated. All other incidence figures concern children from birth cohorts born before introduction of Pa vaccine in Sweden. For vaccine coverage per birth cohort, see figure 9.

4 Plan for continued work

The plans for project year ten include

- Further analyses of the nine year surveillance data from Gothenburg area, to be presented in a separate progress report
- A scientific manuscript presenting nine year surveillance in Gothenburg area, and another with presentation of all clinical information, including cohorts no longer under surveillance (overall clinical presentation of pertussis) and analyses of antibiotic use in relation to severity of disease and duration of symptoms
- Extension of the surveillance period 3 months of 10th year until December 31st 2007.
- Preparation of an overall ten year report with the results of the enhanced surveillance in Sweden except Gothenburg, and also a 5 year report for Gothenburg, in both cases with overall summaries according to primary case definitions (typical pertussis) as well as to current EU and WHO case definitions
- Transferral of the project database to SmiNet, i.e. the national disease reporting system, allowing access for the county medical officers in communicable disease control to enhanced data from their respective counties.
- Closure of the project in its present form, including archiving of all documents
- Planning of a redesigned project design, focusing on effectiveness in children boosted according to the revised national vaccination schedule, and on age-specific incidence in infancy (including clinical and vaccination data)
- An international workshop on pertussis epidemiology during autumn 2008

5 Administration

Contracts for the project Pertussis surveillance in Sweden have been agreed for continued follow-up of clinical epidemiology during year 2004 to 2006 with the participating manufacturers, Sanofi-Pasteur-MSD, Lyon, Sanofi-Pasteur, Canada, and Glaxo SmithKline, Belgium.

The Advisory Group met annually. Progress reports are prepared as postmarketing follow-up for regulatory agencies. For transparency, it has been agreed that annual progress report is posted on www.smittskyddsinstitutet.se. The two vaccine specific Appendix 2 should also be posted, with a clear note of caution that comparisons between vaccines should not be performed.

The advisory group should in advance approve public presentations of data from the study. Papers should be submitted to peer reviewed journals. The investigators and the Advisory Group will not endorse other uses of the data.

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